Causality-Based Verification of Multi-threaded Programs

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Programs with Locks
Concurrent Proofs
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Causality

The relation between two events (the *cause* and the *effect*), where the second event is understood as a (necessary) consequence of the first.

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Causality

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In this talk

- Capturing causality by concurrent traces and their transformations
- Verification of concurrent programs based on causality
- How causality-based verification can bring exponential savings for some classes of multi-threaded programs

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Verification of Safety Properties

$$\boxed{\text{System } S} \models \mathbf{G}\, \mathit{safe}\ ?$$

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Verification of Safety Properties for **Concurrent** Systems

$$S = \boxed{P_1} \parallel \boxed{P_2} \parallel \ldots \parallel \boxed{P_N} \models \mathbf{G}\, safe\ ?$$

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Different flavors:

- Synchronized product of finite automata
- Communicating processes
- Multi-threaded programs

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Complexity

The problem is **PSPACE-complete**

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Complexity

The problem is **PSPACE-complete**

Problem complexity is **robust**

- varying communication models (global/binary/shared vars)
- different sizes of the alphabet

Motivation

- Unless $P = PSPACE$, there is no scalable algorithm for the general-case concurrent verification problem
- It is easy to manually prove/disprove the correctness of many concurrent programs

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$\Rightarrow$ Investigate:

- Efficient (**polynomial**) proof techniques
- Classes of efficiently verifiable concurrent programs

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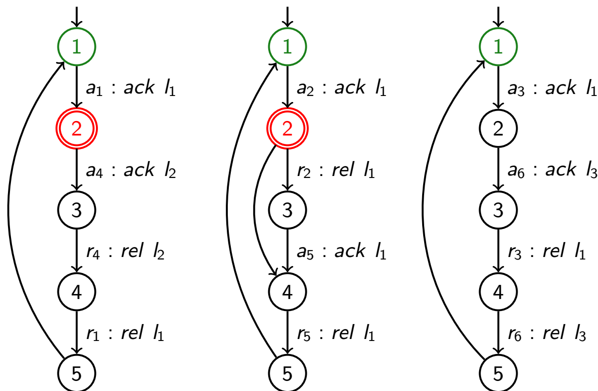
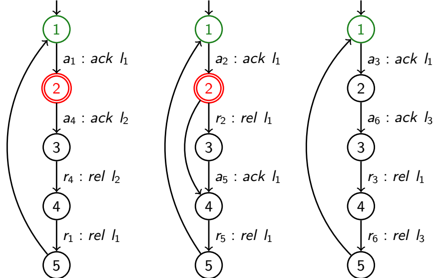
Multi-threaded Programs with Locks

Syntax	**Semantics**
acquire l_i	$l_i = 0 \land l_i' = 1 \ \land pc' = pc + 1$
release l_i	$l_i' = 0 \ \land pc' = pc + 1$
if (φ) *goto* j	$(\varphi \land pc' = j) \ \lor (\neg\varphi \land pc' = pc + 1)$



A Polynomial Proof



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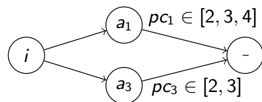

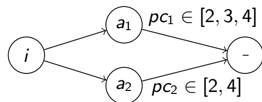

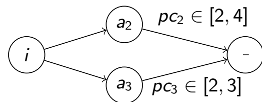

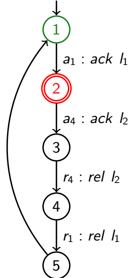

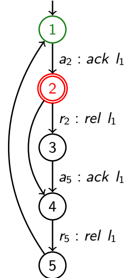

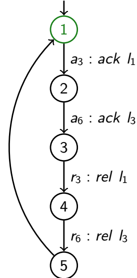

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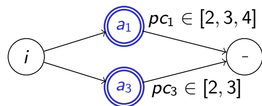
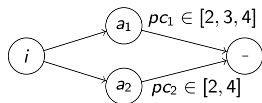
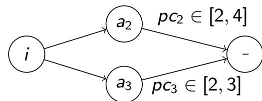
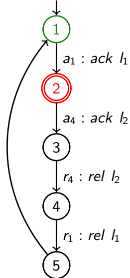
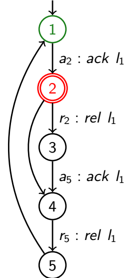


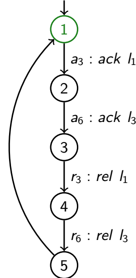
OrderSplit

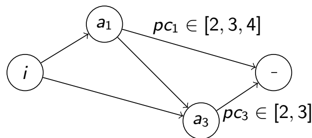












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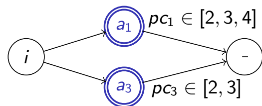
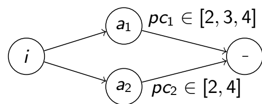
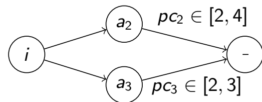
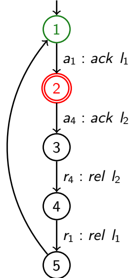
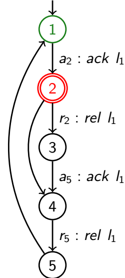


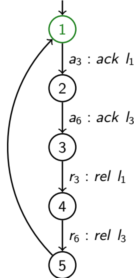
OrderSplit
NecessaryAction ($l' = 1 \lightning\ l = 0$)

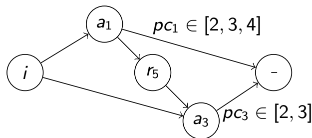












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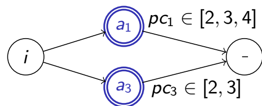
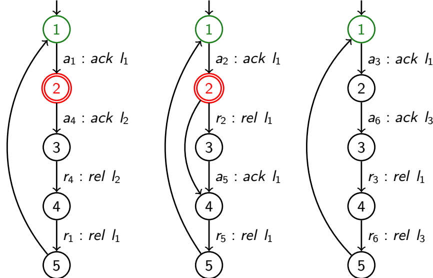
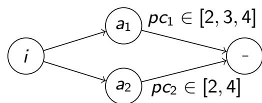
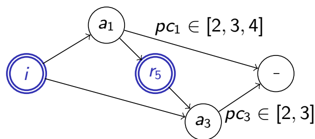




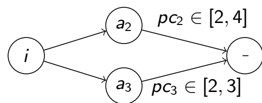
OrderSplit

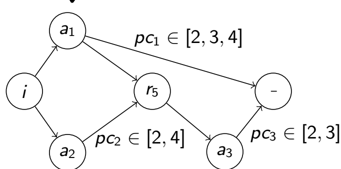
NecessaryAction $(l' = 1 \lightning\ l = 0)$



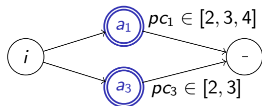


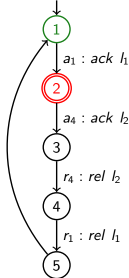
NecessaryAction $(pc_2' = 1 \lightning\ pc_2 = 4)$

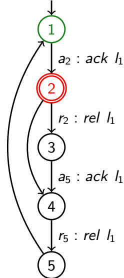


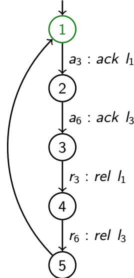


A Polynomial Proof









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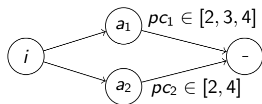

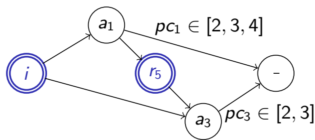

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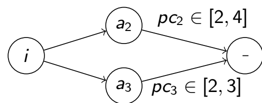
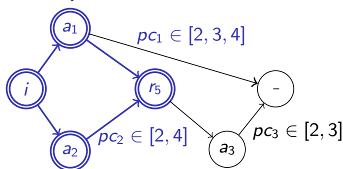
Cover





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Proof Object

State

A tuple of state components $s = \langle p_1, p_2, \ldots, p_N \rangle \in |P_1| \times |P_2| \times \ldots \times |P_N|$

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State Inclusion

$s = \langle p_1, \ldots, p_N \rangle \subseteq s' = \langle p'_1, \ldots, p'_N \rangle$ iff $\forall i \,.\, p_i \subseteq p'_i$

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Trace (implicitely defined, for forward search)

For a state s, an equivalence class of all traces, ending in s:

$$s_1, t_1, \ldots, s_k, t_k, \boldsymbol{s} \ \equiv \ s'_1, t'_1, \ldots, s'_m, t'_m, \boldsymbol{s}$$

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Concurrent Trace

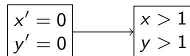
A labeled, directed, acyclic graph $A = \langle N, E, \nu, \eta \rangle$:

- $\langle N, E \rangle$ is a graph with *actions* N and edges E
- $\nu : N \to \Phi(V \cup V')$
- $\eta : E \to \Phi(V \cup V')$
  labelings of actions/edges with transition predicates

Trace Inclusion

$A = \langle N, E, \nu, \eta \rangle \ \subseteq_\lambda \ A' = \langle N', E', \nu', \eta' \rangle$ iff

- $\exists\, \lambda = \langle \lambda_N : N' \to N, \lambda_E : E' \to E \rangle$.
- for all $n' \in N' \,.\, \nu(\lambda_N(n')) \implies \nu'(n')$.
- for all $e' \in E' \,.\, \eta(\lambda_E(e')) \implies \eta'(e')$.



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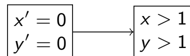

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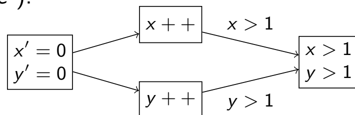

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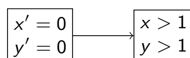

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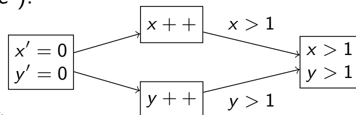

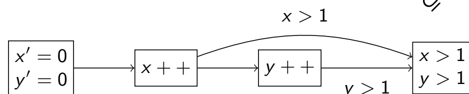

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State Transition

For a state s: $\{t^1, \ldots, t^n\}$, where $s \xrightarrow{t^i} s'_i$ are transitions, enabled in s.

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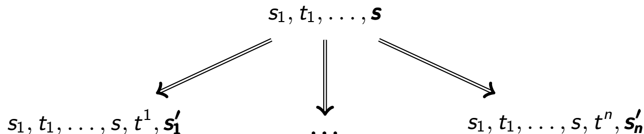
Seen as Trace Transformations



Proof Rules

Causal Transition

$\tau : \{\tau_1, \ldots, \tau_n\}$ where $\tau_i : (L \xrightarrow{r_i} R_i)$, are trace productions sharing the same left-hand side L. τ is *sound* if the following holds:

$$\forall A \,.\, A \subseteq_m L \implies \mathcal{L}(A) \subseteq \bigcup_{\tau_i \in \tau} \mathcal{L}\big(\tau_i^m(A)\big)$$

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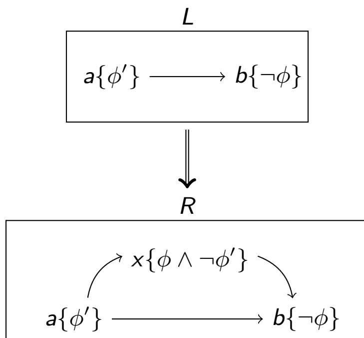
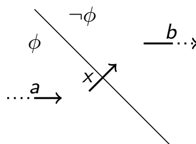
Necessary Action





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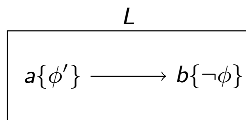
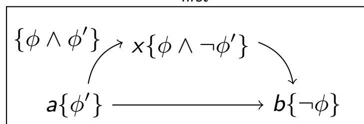
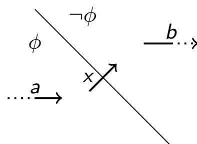
$\tau : \{\tau_1, \ldots, \tau_n\}$ where $\tau_i : (L \xrightarrow{r_i} R_i)$, are trace productions sharing the same left-hand side L. τ is *sound* if the following holds:

$$\forall A \,.\, A \subseteq_m L \implies \mathcal{L}(A) \subseteq \bigcup_{\tau_i \in \tau} \mathcal{L}(\tau_i^m(A))$$

Necessary Action







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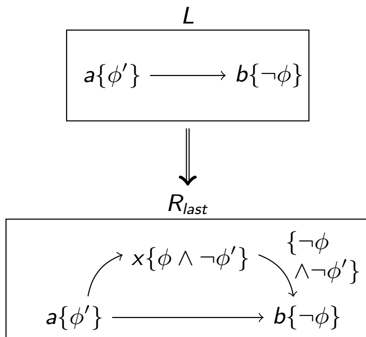

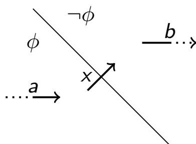

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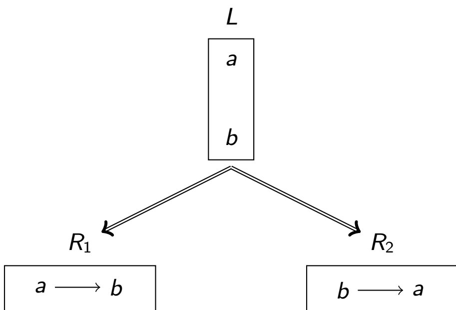
Order Split



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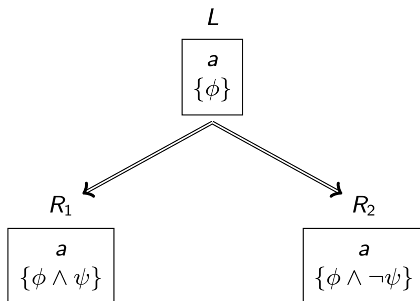
Action Split



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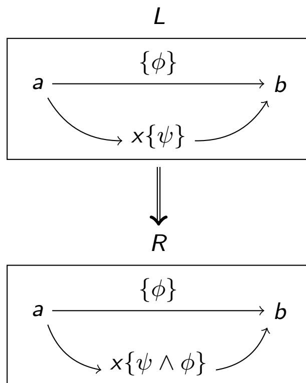
Action Restriction



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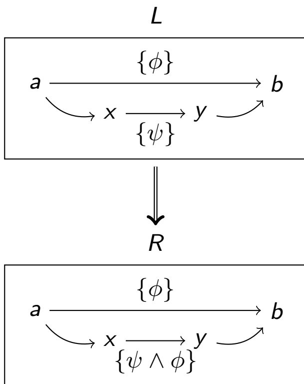
Edge Restriction



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Verification as a Search Problem: States vs Traces

```
begin
    set Q ⟵ InitialAbstraction(S)
    while not FixedPoint(Q) do
        take some q from Q
        if IsError(q) then
            return unsafe
        else
            Q := Q ∪ Successors(q)
    return safe
```

Verification as a Search Problem: States vs Traces

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Search Object: State Concurrent Trace

Andrey Kupriyanov and Bernd Finkbeiner

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```

Search Object:	State	Concurrent Trace
$InitialAbstraction(S)$:	I	$I \longrightarrow E$

Verification as a Search Problem: States vs Traces

begin
  set $Q \longleftarrow \mathit{InitialAbstraction}(S)$
  while $\mathit{not}\ \mathit{FixedPoint}(Q)$ **do**
    take some q from Q
    if $\mathit{IsError}(q)$ **then**
      return unsafe
    else
      $Q := Q \cup \mathit{Successors}(q)$
  return safe

Search Object:	State	Concurrent Trace
$\mathit{InitialAbstraction}(S)$:	I	$I \longrightarrow E$
$\mathit{IsError}(q)$:	$q \cap E \neq \emptyset$	$\mathit{Linearizable}(q)$

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```

Search Object:	State	Concurrent Trace
$InitialAbstraction(S)$:	I	$I \longrightarrow E$
$IsError(q)$:	$q \cap E \neq \emptyset$	$Linearizable(q)$
$Successors(q)$:	$StateTransition(q)$	$CausalTransition(q)$

Verification as a Search Problem: States vs Traces

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begin
    set Q ⟵ InitialAbstraction(S)
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Search Object:	State	Concurrent Trace
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$\mathit{IsError}(q)$:	$q \cap E \neq \emptyset$	$\mathit{Linearizable}(q)$
$\mathit{Successors}(q)$:	$\mathit{StateTransition}(q)$	$\mathit{CausalTransition}(q)$
$\mathit{FixedPoint}(Q)$:	$\forall q \in Q\,.$ $\mathit{Succesors}(q) \subseteq Q$	?

Looking Closer into State Fixed-point...

State Fixed-point

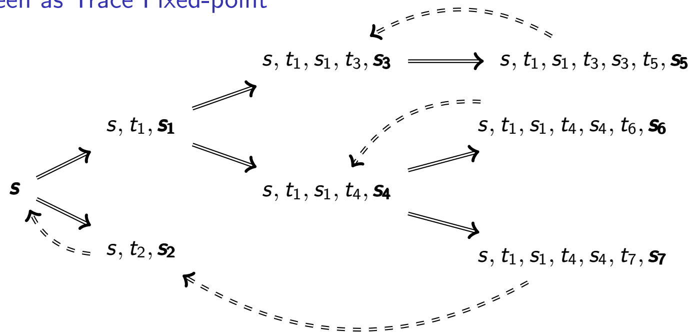
$\forall q \in Q \,.\, Succesors(q) \subseteq Q$

Looking Closer into State Fixed-point...

State Fixed-point

$\forall q \in Q \,.\, Succesors(q) \subseteq Q$

Seen as Trace Fixed-point

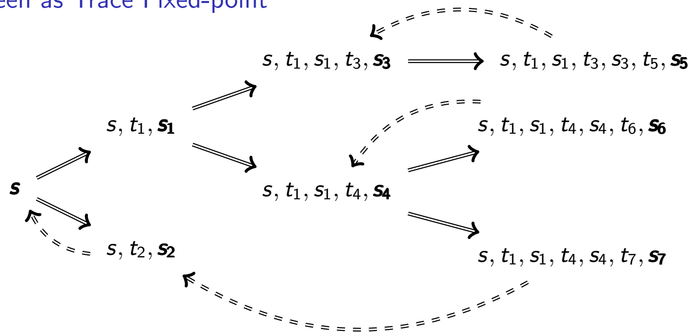


Looking Closer into State Fixed-point...

State Fixed-point

$\forall q \in Q \, . \, Succesors(q) \subseteq Q$

Seen as Trace Fixed-point

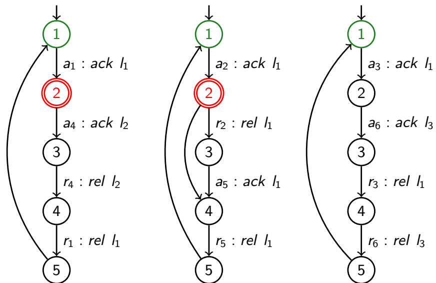


Trace Fixed-point

There is no **finite** trace between I and E.

Alternatively: any trace between I and E should have **infinite** length!

Causal Trace Unwindings



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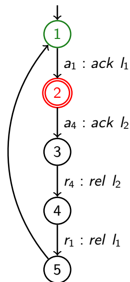

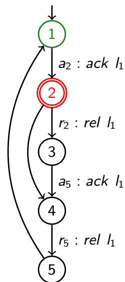

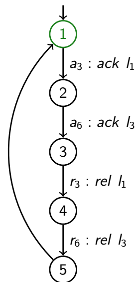

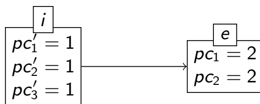

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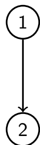

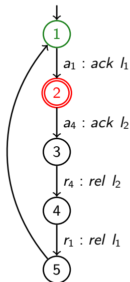

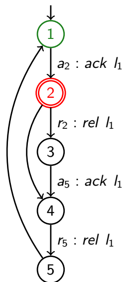

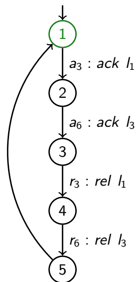

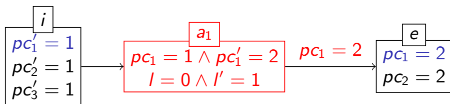

Causality-Based Verification of Multi-threaded Programs

Andrey Kupriyanov and Bernd Finkbeiner

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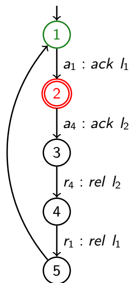
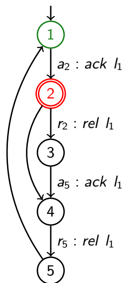
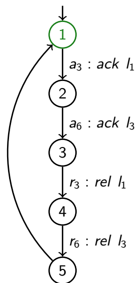
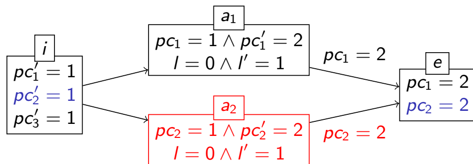
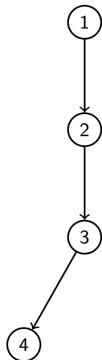


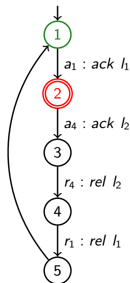


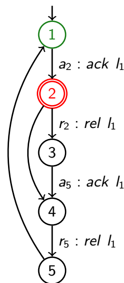


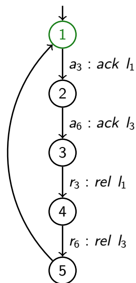


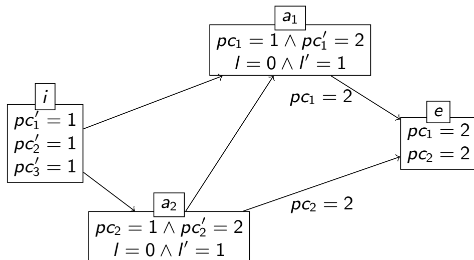
Causal Trace Unwindings











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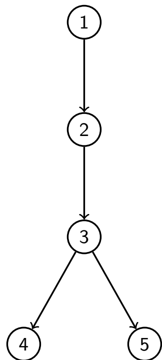

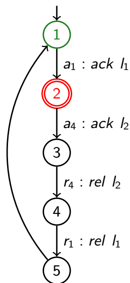

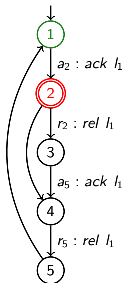

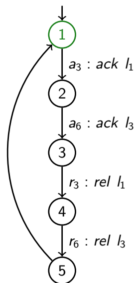

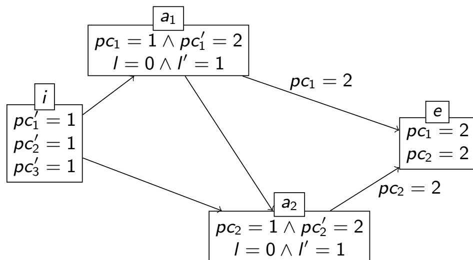

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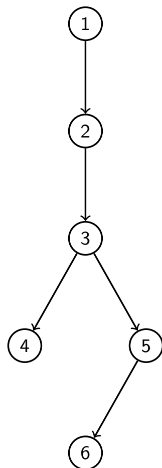

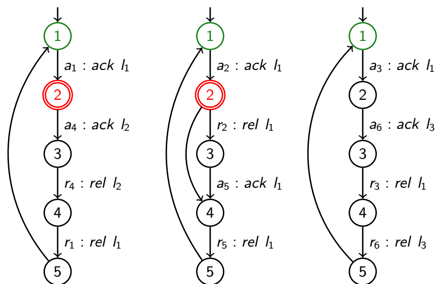

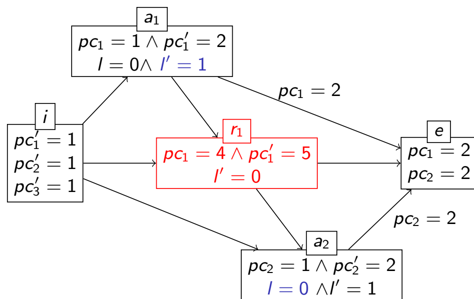

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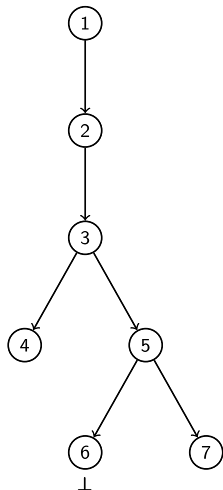

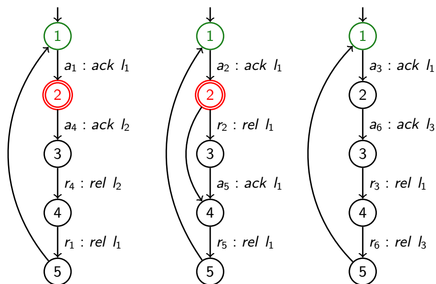

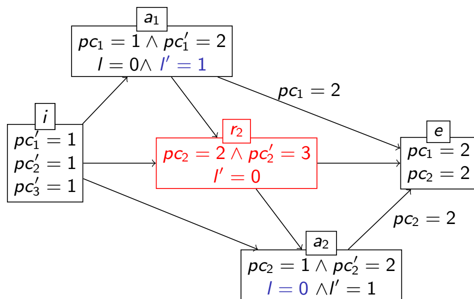

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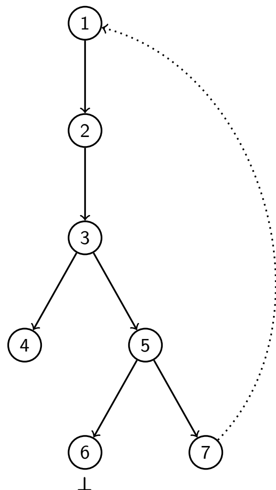

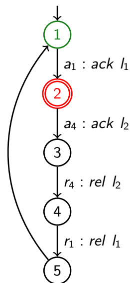

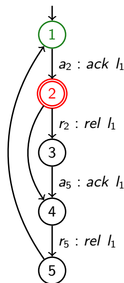

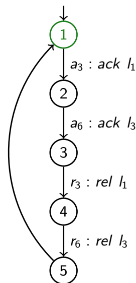

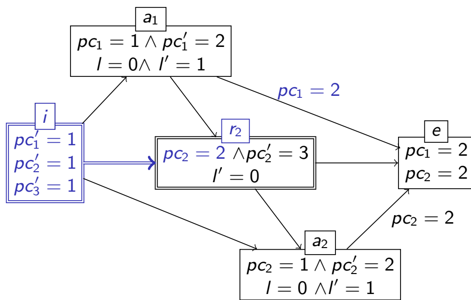

Causal Trace Unwindings

Theorem (Soundness of Trace Unwinding)

If there exists a correct causal trace unwinding for $\mathcal{P}$, where every causal path is either contradictory or unbounded, then $\mathcal{P}$ is safe.

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Trace Tableau = **Trace Unwinding**
+ abstract labels + covering relation

Causal Trace Tableaux

$$\frac{\textit{abstract}}{\textit{concrete}}$$

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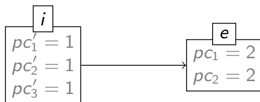
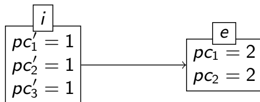
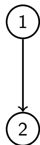


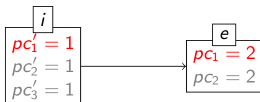
abstract

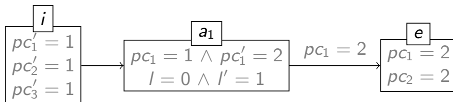
concrete



Causal Trace Tableaux







abstract

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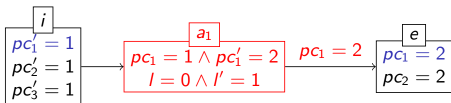
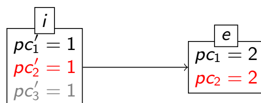
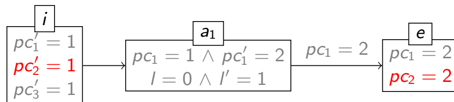
concrete



Causal Trace Tableaux





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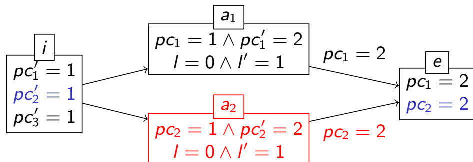

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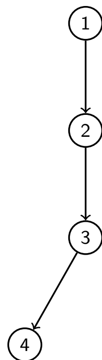

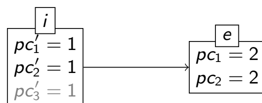

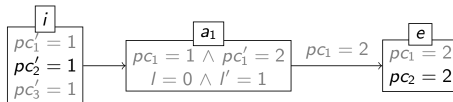

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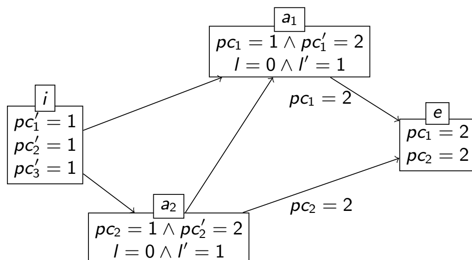

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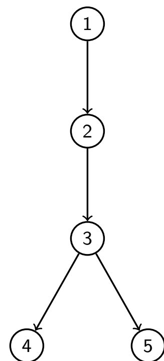

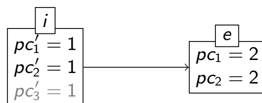

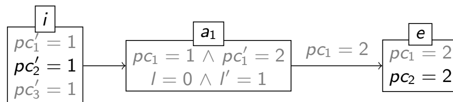

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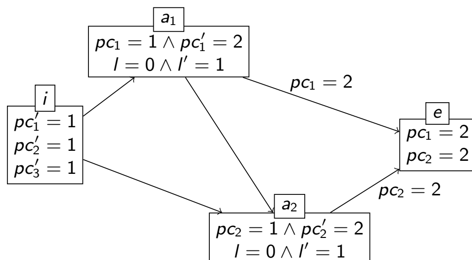

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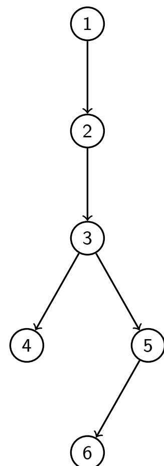

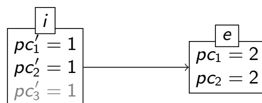

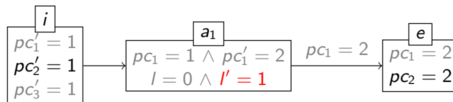

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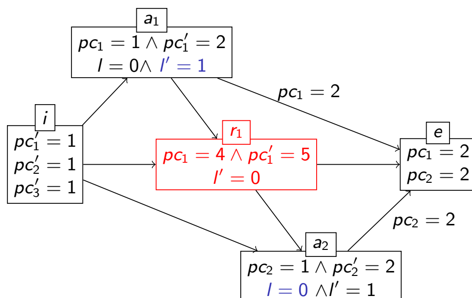
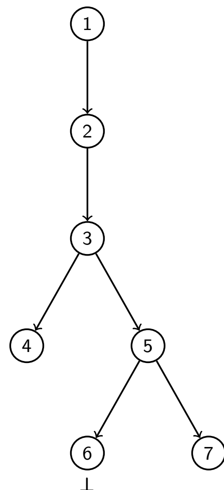
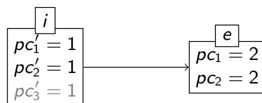
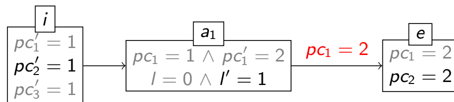


Causal Trace Tableaux







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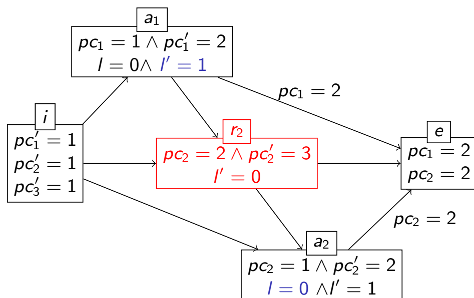

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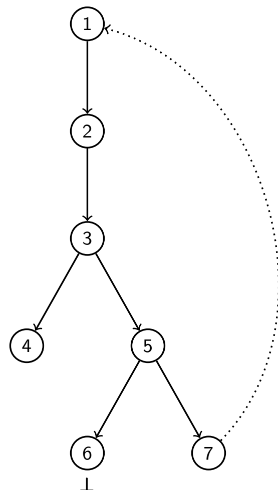

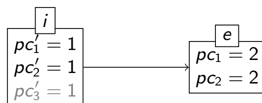

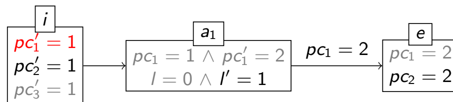

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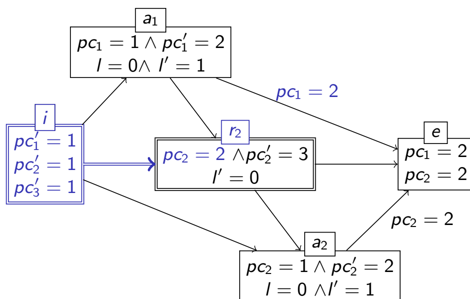

Causal Trace Tableaux

Theorem (Soundness)

If there exists a correct and complete causal trace tableau for a parallel program $\mathcal{P}$, then $\mathcal{P}$ is safe.

Theorem (Completeness)

If a parallel program $\mathcal{P}$ with finite-state quotient is safe, then there exists a correct and complete causal trace tableau for $\mathcal{P}$.

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Causality-based Verification: Conclusion

We propose to shift emphasis from state space exploration to causality-based proof search:

- + We capture causality by concurrent traces and their transformations
- + More powerful proof object allows to better exhibit causal relationships
- + More powerful proof rules lead to substantially shorter proofs

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We propose to shift emphasis from state space exploration to causality-based proof search:

- We capture causality by concurrent traces and their transformations
- More powerful proof object allows to better exhibit causal relationships
- More powerful proof rules lead to substantially shorter proofs

Reduces the complexity from exponential to polynomial for the important class of multi-threaded programs.