

## Automata, Games, and Verification: Lecture 8

---

**Definition 1** For a S1S formula  $\varphi$ ,  $\mathcal{L}(\varphi) = \{\alpha_{\sigma_1, \sigma_2} \in (2^{V_1 \cup V_2})^\omega \mid \sigma_1, \sigma_2 \models \varphi\}$ , where  $x \in \alpha(j)$  iff  $j = \sigma_1(x)$ , and  $X \in \alpha(j)$  iff  $j \in \sigma_2(X)$ .

**Definition 2** A language  $L$  is LTL/QPTL/S1S-definable if there is a LTL/QPTL/S1S formula  $\varphi$  with  $\mathcal{L}(\varphi) = L$ .

**Theorem 1** Every QPTL-definable language is S1S-definable.

**Proof:**

For every QPTL-formula  $\varphi$  over  $AP$  and every S1S-term  $t$  over  $V_1 = \emptyset$ , we define a S1S formula  $T(\varphi, t)$  over  $V_2 = AP$  such that, for all  $\alpha \in (2^{AP})^\omega$ ,

$$\alpha[[t]_{\sigma_1 \cdot \cdot}] \models_{\text{QPTL}} \varphi \quad \text{iff} \quad \sigma_1, \sigma_2 \models_{\text{S1S}} T(\varphi, t),$$

where  $\sigma_2 : P \mapsto \{i \in \omega \mid P \in \alpha(i)\}$ .

- $T(P, t) = t \in P$ , for  $P \in AP$ ;
- $T(\neg\varphi, t) = \neg T(\varphi, t)$ ;
- $T(\varphi \vee \psi, t) = T(\varphi, t) \vee T(\psi, t)$
- $T(X\varphi, t) = T(\varphi, S(t))$
- $T(\varphi \mathcal{U} \psi, t) = \exists y. (y \geq t \wedge T(\psi, y) \wedge \neg \exists z. (t \leq z < y \wedge T(\neg\varphi, z)))$
- $T(\exists P \varphi, t) = \exists P. T(\varphi, t)$ .

$$\mathcal{L}(\varphi) = \mathcal{L}(T(\varphi, 0)). \quad \blacksquare$$

**Theorem 2** Every S1S-definable language is Büchi-recognizable.

**Proof:**

Let  $\varphi$  be a S1S-formula.

1. Rewrite  $\varphi$  into normal form

$$\varphi ::= 0 \in X \mid x \in Y \mid x = 0 \mid x = y \mid x = S(y) \mid \neg\varphi \mid \varphi \vee \psi \mid \exists x. \varphi \mid \exists X. \varphi.$$

using the following rewrite rules:

$$\begin{aligned} S(t) \in X &\mapsto \exists y. y = S(t) \wedge y \in X \\ S(t) = S(t') &\mapsto t = t' \\ S(t) = x &\mapsto x = S(t) \\ t = S(S(t')) &\mapsto \exists y. y = S(t') \wedge t = S(y) \end{aligned}$$

2. Rename bound variables to obtain unique variables.

**Example:**

$$\exists x.(S(S(y)) = x \wedge \exists x (S(x) \in X_0))$$

is rewritten to

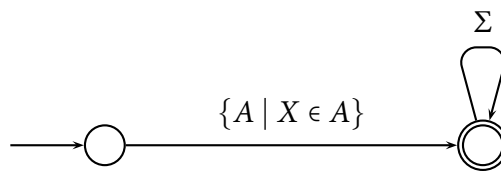
$$\exists x_0. \exists x_1. x_0 = S(x_1) \wedge x_1 = S(y) \wedge \exists x_2 \exists x_3. x_3 = S(x_2) \wedge x_3 \in X_0$$



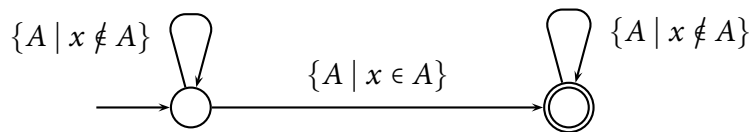
3. Construct Büchi automaton:

Base cases:

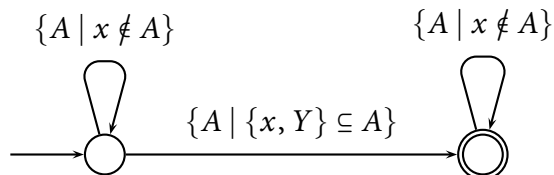
- $0 \in X$ :



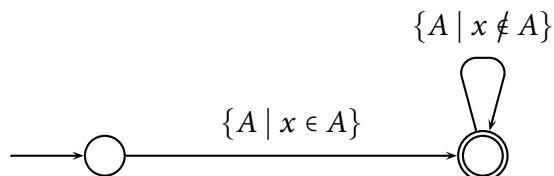
For every  $x \in V_1$ , intersect with  $\mathcal{A}_x$ :



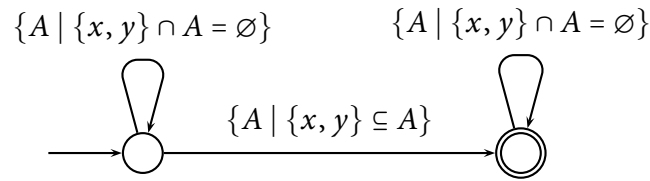
- $x \in Y$ :



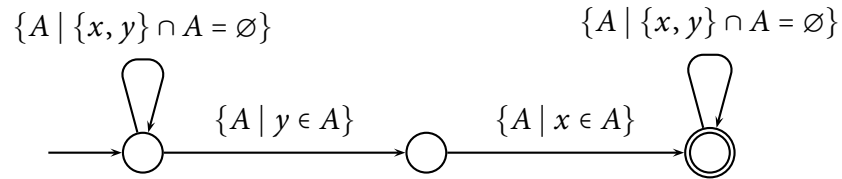
- $x = 0$ :



- $x = y$ :



- $x = S(y)$ :



Inductive step:

- $\varphi \vee \psi$ : language union,
- $\neg\varphi$ : complement and intersection with all  $\mathcal{A}_x$ ,
- $\exists x. \varphi, \exists X. \varphi$ : projection



## 10 Weak Monadic Second-Order Theory of One Successor (WS1S)

**Syntax:** same as S1S;

**Semantics:** same as S1S; except:

$\sigma_1, \sigma_2 \models \exists X. \varphi$  iff there is a **finite**  $A \subseteq \omega$  s.t.

$$\sigma'_2(Y) = \begin{cases} \sigma_2(Y) & \text{if } Y \neq X \\ A & \text{otherwise} \end{cases}$$

and  $\sigma_1, \sigma'_2 \models \varphi$ .

**Theorem 3** *A language is WS1S-definable iff it is S1S-definable.*

**Proof:**

( $\Rightarrow$ ): Quantifier relativization:

$$\forall X \dots \mapsto \forall X. \text{Fin}(X) \rightarrow \dots$$

$$\exists X \dots \mapsto \exists X. \text{Fin}(X) \wedge \dots$$

( $\Leftarrow$ ):

- Let  $\varphi$  be an S1S-formula.
- Let  $\mathcal{A}$  be a Büchi automaton with  $\mathcal{L}(\mathcal{A}) = \mathcal{L}(\varphi)$ .
- Let  $\mathcal{A}'$  be a deterministic Muller automaton with  $\mathcal{L}(\mathcal{A}') = \mathcal{L}(\mathcal{A})$ .
- By the characterization of deterministic Muller languages,  $\mathcal{L}(\mathcal{A}')$  is a boolean combination of languages  $\vec{W}$ , where  $W$  is finite-word recognizable.
- For a finite-word language  $W$ , recognizable by a finite automaton  $\mathcal{A} = (S, I, T, F)$ , where  $S = \{s_1, s_2, \dots, s_n\}$ , we define a WS1S formula  $\psi_W(y)$  over  $V_2 = AP \cup \{At_{s_1}, \dots, At_{s_n}\}$  that defines the words whose prefix up to position  $y$  is in  $W$ :

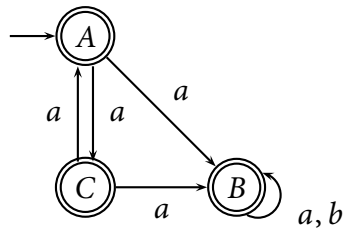
$$\begin{aligned} \psi_W(y) := & \exists At_{s_1}, \dots, At_{s_n} . \\ & \bigvee_{s \in I} 0 \in At_s \\ & \wedge \forall x < y \left( \bigvee_{(s_i, A, s_j) \in T} \left( x \in At_{s_i} \wedge S(x) \in At_{s_j} \wedge \bigwedge_{P \in A} x \in P \wedge \bigwedge_{P \in AP \setminus A} x \notin P \right) \right) \\ & \wedge \forall x \leq y \left( \bigwedge_{i \neq j} \neg (x \in At_{s_i} \wedge x \in At_{s_j}) \right) \\ & \wedge \bigvee_{s_i \in F} y \in At_{s_i} \end{aligned}$$

- then, the WS1S formula  $\varphi_W := \forall x. \exists y. (x < y \wedge \psi(y))$  defines the words in  $\vec{W}$ .
- Hence,  $\mathcal{L}(\varphi)$  is WS1S-definable. ■

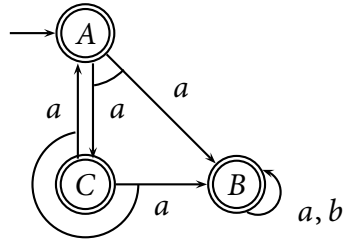
## 11 Alternating Automata

**Example:**

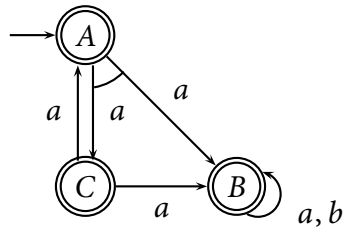
- Nondeterministic automaton,  $L = a(a + b)^\omega$ , disjunctive branching mode:



- universal automaton,  $L = a^\omega$ , conjunctive branching mode:



- Alternating automaton, both branching modes (arc between edges indicates universal branching mode),  $L = aa(a + b)^\omega$



**Definition 3** The positive Boolean formulas over a set  $X$ , denoted  $\mathbb{B}^+(X)$ , are the formulas built from elements of  $X$ , conjunction  $\wedge$ , disjunction  $\vee$ , true and false.

**Definition 4** A set  $Y \subseteq X$  satisfies a formula  $\varphi \in \mathbb{B}^+(X)$ , denoted  $Y \models \varphi$ , iff the truth assignment that assigns true to the members of  $Y$  and false to the members of  $X \setminus Y$  satisfies  $\varphi$ .

**Definition 5** An alternating Büchi automaton is a tuple  $\mathcal{A} = (S, s_0, \delta, F)$ , where:

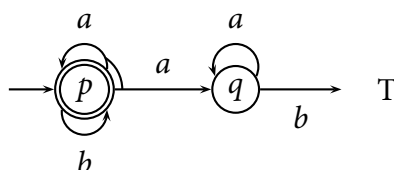
- $S$  is a finite set of states,
- $s_0 \in S$  is the initial state,
- $F \subseteq S$  is the set of accepting states, and
- $\delta : S \times \Sigma \rightarrow \mathbb{B}^+(S)$  is the transition function.

A tree  $T$  over a set of directions  $D$  is a prefix-closed subset of  $D^*$ . The empty sequence  $\varepsilon$  is called the root. The children of a node  $n \in T$  are the nodes  $\text{children}(n) = \{n \cdot d \in T \mid d \in D\}$ . A  $\Sigma$ -labeled tree is a pair  $(T, l)$ , where  $l : T \rightarrow \Sigma$  is the labeling function.

**Definition 6** A run of an alternating automaton on a word  $\alpha \in \Sigma^\omega$  is an  $S$ -labeled tree  $\langle T, r \rangle$  with the following properties:

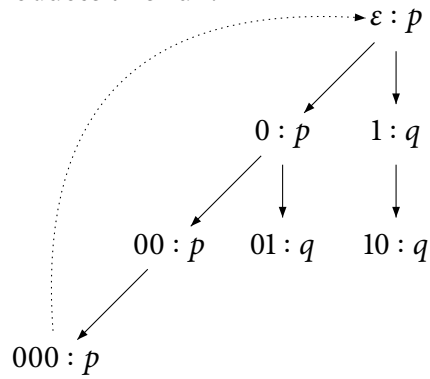
- $r(\varepsilon) = s_0$  and
- for all  $n \in T$ , if  $r(n) = s$ , then  $\{r(n') \mid n' \in \text{children}(n)\}$  satisfies  $\delta(s, \alpha(|n|))$ .

**Example:**  $L = (\{a, b\}^* b)^\omega$



$S = \{p, q\}$   
 $F = \{p\}$   
 $\delta(p, a) = p \wedge q$   
 $\delta(p, b) = p$   
 $\delta(q, a) = q$   
 $\delta(q, b) = T$

example word  $w = (aab)^\omega$  produces this run:



(The dotted line means that the same tree would repeat there. Note that, in general, an alternating automaton may also have more than one run on a particular word—or no run at all.)  $\blacksquare$

**Definition 7** A branch of a tree  $T$  is a maximal sequence of words  $n_0 n_1 n_2 \dots$  such that  $n_0 = \epsilon$  and  $n_{i+1}$  is a child of  $n_i$  for  $i \geq 0$ .

**Definition 8** A run  $(T, r)$  is accepting iff, for every infinite branch  $n_0 n_1 n_2 \dots$ ,

$$\text{In}(r(n_0)r(n_1)r(n_2)\dots) \cap F \neq \emptyset.$$