## Chapter 7

# Sets

Decision Procedures Last update of lecture notes: Tuesday, March 7, 2006 Last update of this chapter: Wednesday, February 22, 2006

### 7.1 The modelclass

#### 7.1.1 Definition

The SIGNATURE OF SETS  $\Sigma_{set}$  contains the following symbols:

- $\bullet\,$  The sorts elem for elements and set for sets of elements.
- The constant symbol  $\emptyset$  (*empty set*), of sort set.
- The function symbol  $\{\cdot\}$  (singleton set), of arity elem  $\rightarrow$  set.
- The function symbol  $\cup$  (*union*), of arity set  $\times$  set  $\rightarrow$  set.
- The function symbol  $\cap$  (*intersection*), of arity set  $\times$  set  $\rightarrow$  set.
- The function symbol  $\setminus$  (set difference), of arity set  $\times$  set  $\rightarrow$  set.
- The predicate symbol  $\in$  (*membership*), of arity elem  $\times$  set.

#### 7.1.2 Definition

A STANDARD set-STRUCTURE is any  $\Sigma_{set}$ -structure  $\mathcal{A}$  satisfying the following properties:

- $1. \ A_{\mathsf{set}} = \mathcal{P}(A_{\mathsf{elem}}).$
- 2. the symbols  $\emptyset$ ,  $\{\cdot\}$ ,  $\cup$ ,  $\cap$ ,  $\setminus$ ,  $\in$ , and  $\subseteq$  are interpreted according to their standard interpretation over sets of elements.

#### 7.1.3 Definition

The MODELCLASS OF SETS is the pair  $M_{set} = (\Sigma_{set}, \mathbf{A})$ , where  $\mathbf{A}$  is the class of all  $\Sigma_{set}$ -structures that are isomorphic to standard set-structures.

## 7.2 Equality reduction

#### 7.2.1 Algorithm (IS-SATISFIABLE-SETS)

**Input:** A finite set  $\Gamma$  of flat  $\Sigma_{set}$ -literals

**Output:** satisfiable if  $\Gamma$  is satisfiable; unsatisfiable otherwise

- 1: function IS-SATISFIABLE-SETS( $\Gamma$ )
- 2:  $\Delta \leftarrow \text{SETS-TO-EQUALITY}(\Gamma)$
- 3: **return** IS-SATISFIABLE-EQUALITY( $\Delta$ )

 $e \in$ 

4: end function

5: function SETS-TO-EQUALITY( $\Gamma$ )

- 6:  $X \leftarrow vars(\Gamma)$
- 7: For each literal of the form  $x \not\approx_{set} y$  in  $\Gamma$ , generate a fresh elem-variable  $w_{x,y}$ . Let W be the set of freshly generated variables.
- 8: Replace each formula of the form  $x \not\approx_{set} y$  in  $\Gamma$  with the formula

 $(w_{x,y} \in x \land w_{x,y} \notin y) \lor (w_{x,y} \notin x \land w_{x,y} \in y)$ 

9: Replace each formula of the form  $x \approx \emptyset$  in  $\Gamma$  with the formula

$$\bigwedge_{\in X_{\mathsf{elem}} \cup W} e \notin x \,.$$

10: Replace each formula of the form  $x \approx \{e_0\}$  in  $\Gamma$  with the formula

$$\bigwedge_{e \in X_{\mathrm{elem}} \cup W} [e \in x \ \leftrightarrow \ e = e_0] \,,$$

11: Replace each formula of the form  $x \approx y \cup z$  in  $\Gamma$  with the formula

$$\bigwedge_{X_{\mathsf{elem}} \cup W} [e \in x \ \leftrightarrow \ (e \in y \ \lor \ e \in z)] \, .$$

12: Replace each formula of the form  $x \approx y \cap z$  in  $\Gamma$  with the formula

$$\bigwedge_{e \in X_{\mathsf{elem}} \cup W} [e \in x \ \leftrightarrow \ (e \in y \ \land \ e \in z)] \, .$$

13: Replace each formula of the form  $x \approx y \setminus z$  in  $\Gamma$  with the formula

$$\bigwedge_{e \in X_{\mathsf{elem}} \cup W} [e \in x \ \leftrightarrow \ (e \in y \ \wedge \ e \notin z)] \, .$$

14: return  $\Gamma$ 

15: end function

#### 7.2.2 Proposition

In algorithm IS-SATISFIABLE-SETS, let  $\Delta$  be the output returned by the call to SETS-TO-EQUALITY( $\Gamma$ ). Then the following are equivalent:

1.  $\Gamma$  is  $M_{set}$ -satisfiable.

2.  $\Delta$  is  $M^{\Omega}_{\approx}$ -satisfiable, where  $\Omega^{S} = \{\text{elem}, \text{set}\}, \ \Omega^{C} = \Omega^{F} = \emptyset$ , and  $\Omega^{P} = \{\in\}$ .

Proof. (1  $\implies$  2). Immediate.

 $(1 \implies 2)$ . Let  $\mathcal{B}$  be an  $\Omega$ -interpretation over  $X \cup W$  satisfying  $\Delta$ . Then it is easy to check that  $\Gamma$  is satisfied by the  $M_{\mathsf{set}}$ -interpretation  $\mathcal{A}$  over X defined by letting

$$A_{\text{elem}} = B_{\text{elem}}$$
,

and

$$e^{\mathcal{A}} = e^{\mathcal{B}}, \qquad \text{for all } e \in X_{\text{elem}},$$
$$x^{\mathcal{A}} = \left\{ e \in (X_{\text{elem}} \cup W)^{\mathcal{A}} \mid (e, x^{\mathcal{B}}) \in (\in^{\mathcal{B}}) \right\}, \qquad \text{for all } x \in X_{\text{set}}.$$

#### 7.2.3 Proposition

Algorithm IS-SATISFIABLE-SETS is correct.

PROOF. Termination is obvious. Partial correctness follows by Proposition 7.2.2.

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