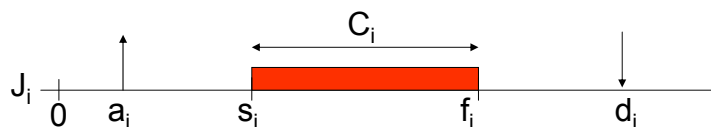




## A-periodic scheduling

## REVIEW



- **Given:**
  - A set of non-periodic tasks  $\{J_1, \dots, J_n\}$  with
    - arrival times  $a_i$ , deadlines  $d_i$ , computation times  $C_i$
    - precedence constraints
    - resource constraints
  - Class of scheduling algorithm:
    - Preemptive, non-preemptive
    - Off-line / on-line
    - Optimal / heuristic
    - One processor / multi-processor
    - ...
  - Cost function:
    - Minimize maximum lateness (soft RT)
    - Minimize maximum number of late tasks (feasibility! – hard RT)
- **Find:**  
Optimal / good schedule according to given cost function

## Case 1: Aperiodic tasks with synchronous release

## REVIEW

- A set of (a-periodic) tasks  $\{J_1, \dots, J_n\}$  with
  - arrival times  $a_i = 0 \forall 1 \leq i \leq n$ , i.e. “synchronous” arrival times
  - deadlines  $d_i$ ,
  - computation times  $C_i$
  - no precedence constraints, no resource constraints, i.e. “independent tasks”
- non-preemptive
- single processor
- Optimal
- Find schedule which minimizes maximum lateness (variant: find feasible solution)

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## EDD – Earliest Due Date

## REVIEW

EDD: execute the tasks in order of non-decreasing deadlines

- **Lemma:**  
If arrival times are synchronous, then preemption does not help, i.e. if there is a preemptive schedule with maximum lateness  $L_{\max}$ , then there is also a non-preemptive schedule with maximum lateness  $L_{\max}$ .
- **Theorem (Jackson '55):**  
Given a set of  $n$  independent tasks with synchronous arrival times, any algorithm that executes the tasks in order of non-decreasing deadlines is optimal with respect to minimizing the maximum lateness.

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## Case 2: aperiodic tasks with asynchronous release

## REVIEW

- A set of (a-periodic) tasks  $\{J_1, \dots, J_n\}$  with
  - **arbitrary** arrival times  $a_i$
  - deadlines  $d_i$ ,
  - computation times  $C_i$
  - **no precedence constraints, no resource constraints, i.e. "independent tasks"**
- **preemptive**
- Single processor
- Optimal
- Find schedule which **minimizes maximum lateness**  
(variant: find feasible solution)

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## EDF – Earliest Deadline First

## REVIEW

- EDF: At every instant execute the task with the earliest absolute deadline among all the ready tasks.
- **Theorem (Horn '74):**  
Given a set of  $n$  independent task with arbitrary arrival times, any algorithm that at every instant executes the task with the earliest absolute deadline among all the ready tasks is optimal with respect to minimizing the maximum lateness.

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## Non-preemptive version

## REVIEW

- **Changed problem:**
  - A set of (a-periodic) tasks  $\{J_1, \dots, J_n\}$  with
    - **arbitrary** arrival times  $a_i$
    - deadlines  $d_i$ ,
    - computation times  $C_i$
    - **no precedence constraints, no resource constraints, i.e. "independent tasks"**
  - **Non-preemptive** instead of **preemptive** scheduling!
  - Single processor
  - Optimal
  - Find schedule which **minimizes maximum lateness** (variant: find feasible solution)

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## Non-preemptive version

## REVIEW

- **Theorem (Jeffay et al. '91):** EDF is an optimal *non-idle* scheduling algorithm also in a **non-preemptive** task model.
- When idle schedules are allowed: problem is NP-hard.
- Possible approaches:
  - Heuristics
  - Bratley's algorithm: branch-and-bound

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### Case 3: Scheduling with precedence constraints

### REVIEW

- Non-preemptive scheduling with non-synchronous arrival times, deadlines and precedence constraints is NP-hard.
- Restrictions:
  - Consider synchronous arrival times (all tasks arrive at 0)
  - Allow preemption.
- **Theorem (Lawler 73):**  
LDF (Latest Deadline First) is optimal wrt. maximum lateness.

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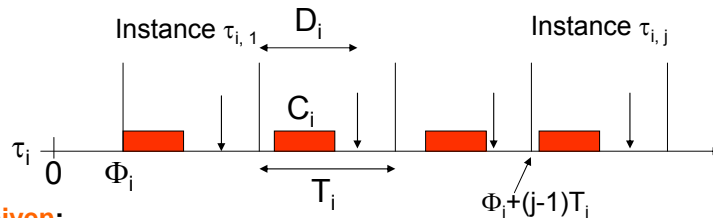
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### Optimal scheduling algorithms for *periodic tasks*

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## Periodic scheduling



### Given:

- A set of periodic tasks  $\Gamma = \{\tau_1, \dots, \tau_n\}$  with
  - phases  $\Phi_i$  (arrival times of first instances of tasks),
  - periods  $T_i$  (time difference between two consecutive activations)
  - relative deadlines  $D_i$  (deadline relative to arrival times of instances)
  - computation times  $C_i$

$\Rightarrow j$ th instance  $\tau_{i,j}$  of task  $\tau_i$  with

- arrival time  $a_{i,j} = \Phi_i + (j-1) T_i$ ,
- deadline  $d_{i,j} = \Phi_i + (j-1) T_i + D_i$ ,
- start time  $s_{i,j}$  and
- finishing time  $f_{i,j}$

### Find a feasible schedule

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## Assumptions

- A.1. Instances of periodic task  $\tau_i$  are regularly activated with constant period  $T_i$ .
- A.2. All instances have same worst case execution time  $C_i$ .
- A.3. All instances have same relative deadline  $D_i$ , here in most cases equal to  $T_i$  (i.e.,  $d_{i,j} = \Phi_i + j \cdot T_i$ )
- A.4. All tasks in  $\Gamma$  are independent. No precedence relation, no resource constraints.
- A.5. Overhead for context switches is neglected, i.e. assumed to be 0 in the theory.

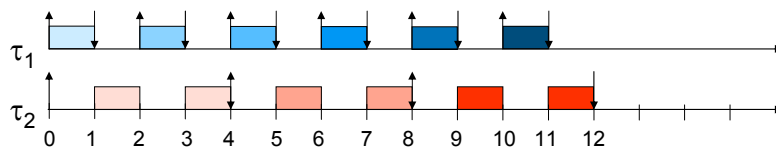
- Basic results based on these assumptions form the core of scheduling theory.
- For practical applications, assumptions A.3. and A.4. can be relaxed, but results have to be extended.

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## Examples for periodic scheduling (1)

|          | $\tau_1$ | $\tau_2$ |
|----------|----------|----------|
| $\Phi_i$ | 0        | 0        |
| $T_i$    | 2        | 4        |
| $C_i$    | 1        | 2        |
| $D_i$    | 1        | 4        |



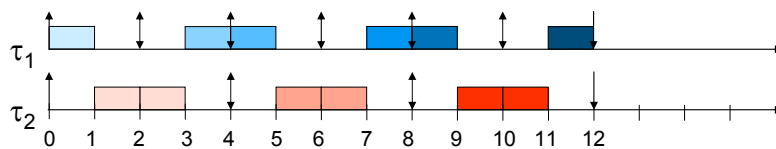
- Schedulable, but only preemptive schedule possible.

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## Examples for periodic scheduling (2)

|          | $\tau_1$ | $\tau_2$ |
|----------|----------|----------|
| $\Phi_i$ | 0        | 0        |
| $T_i$    | 2        | 4        |
| $C_i$    | 1        | 2        |
| $D_i$    | 2        | 4        |



- Schedulable with non-preemptive schedule.

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## Examples for periodic scheduling (3)

|          | $\tau_1$ | $\tau_2$ |
|----------|----------|----------|
| $\Phi_i$ | 0        | 0        |
| $T_i$    | 3        | 4        |
| $C_i$    | 2        | 2        |
| $D_i$    | 3        | 4        |

- No feasible schedule for single processor.

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## Processor utilization

### Definition:

Given a set  $\Gamma$  of  $n$  periodic tasks, the **processor utilization  $U$**  is given by

$$U = \sum_{i=1}^n \frac{C_i}{T_i}$$

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## Processor utilization: using it as a schedulability criterion

- Given: a scheduling algorithm A
- Define  $U_{\text{bnd}}(A) = \inf \{U(\Gamma) \mid \Gamma \text{ is not schedulable by algorithm A}\}$ .
- If  $U_{\text{bnd}}(A) > 0$  then a **simple, sufficient criterion for schedulability by A can be based on processor utilization**:
  - If  $U(\Gamma) < U_{\text{bnd}}(A)$  then  $\Gamma$  is schedulable by A.
  - However, if  $U_{\text{bnd}}(A) < U(\Gamma) \leq 1$ , then  $\Gamma$  may or may not be schedulable by A.
- **Question:**  
Does a scheduling algorithm A exist with  $U_{\text{bnd}}(A) = 1$ ?

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## Processor utilization

- **Question:**  
Does a scheduling algorithm A exist with  $U_{\text{bnd}}(A) = 1$ ?

- **Answer:**

- No, if  $D_i < T_i$  allowed.

- Example:

|          | $\tau_1$ | $\tau_2$ |
|----------|----------|----------|
| $\Phi_i$ | 0        | 0        |
| $T_i$    | 2        | 2        |
| $C_i$    | 1        | 1        |
| $D_i$    | 1        | 1        |

- Yes, if  $D_i = T_i$  (or  $D_i \geq T_i$ )  $\Rightarrow$  Earliest Deadline First (EDF)
- In the following: assume  $D_i = T_i$

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## Earliest Deadline First (EDF)

- EDF is applicable to both periodic and a-periodic tasks.
- If there are only periodic tasks, priority-based schemes like “rate monotonic scheduling (RM)” (see later) are often preferred, since
  - They are simpler due to fixed priorities  
⇒ use in “standard OS” possible
  - sorting wrt. to deadlines **at run time** is not needed

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## EDF and processor utilization factor

- **Theorem:** A set of periodic tasks  $\tau_1, \dots, \tau_n$  with  $D_i = T_i$  is schedulable with EDF iff  $U = \sum_{i=1}^n C_i / T_i \leq 1$ .

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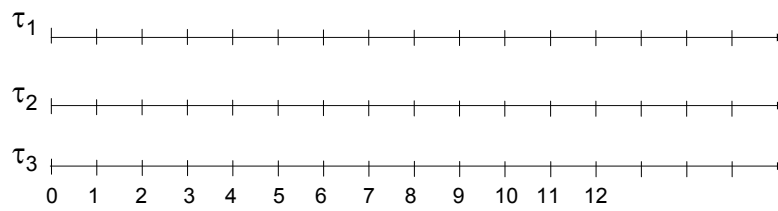
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## Rate monotonic scheduling (RM)

- Rate monotonic scheduling (RM) (Liu, Layland '73):
  - Assign **fixed priorities** to tasks  $\tau_i$ :
    - $\text{priority}(\tau_i) = 1/T_i$
    - I.e., priority **reflects release rate**
  - **Always execute ready task with highest priority**
  - Preemptive: currently executing task is preempted by newly arrived task with shorter period.

### Example for RM (1)

|          | $\tau_1$ | $\tau_2$ | $\tau_3$ |
|----------|----------|----------|----------|
| $\Phi_i$ | 0        | 0        | 0        |
| $T_i$    | 4        | 6        | 12       |
| $C_i$    | 2        | 1        | 4        |
| $D_i$    | 4        | 6        | 12       |

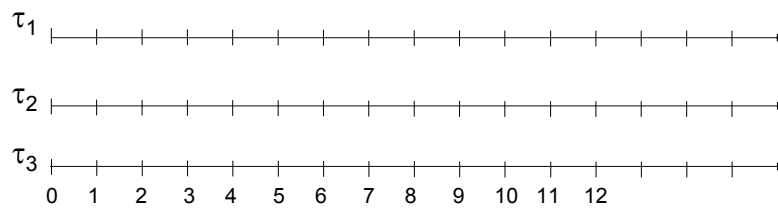


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### Example for RM (2)

|          | $\tau_1$ | $\tau_2$ | $\tau_3$ |
|----------|----------|----------|----------|
| $\Phi_i$ | 0        | 0        | 0        |
| $T_i$    | 4        | 5        | 10       |
| $C_i$    | 2        | 2        | 1        |
| $D_i$    | 4        | 5        | 10       |



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## Optimality of Rate Monotonic Scheduling

- **Theorem (Liu, Layland, 1973):**  
RM is optimal among all fixed-priority scheduling algorithms.
- **Def.:** The **response time**  $R_{i,j}$  of an instance  $j$  of task  $i$  is the time (measured from the arrival time) at which the instance is finished:  $R_{i,j} = f_{i,j} - a_{i,j}$ .
- The critical instant of a task is the time at which the arrival of the task will produce the largest response time.

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## Response times and critical instants

- **Observation:**  
For RM, the critical instant  $t$  of a task  $\tau_i$  is given by the time when  $\tau_{i,j}$  arrives together with all tasks  $\tau_1, \dots, \tau_{i-1}$  with higher priority.

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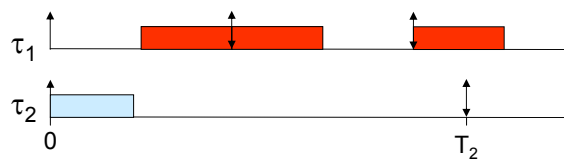
## Response times and critical instants

- For our “worst case task sets” we can assume that there are critical instants where an instance of a task arrives together with all higher priority tasks.
- A task set is schedulable, if the response time at these critical instants is not larger than the relative deadline.

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## Non-RM Schedule



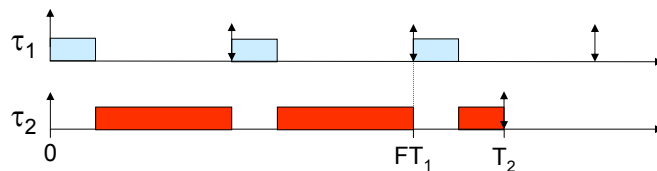
Schedule feasible iff  $C_1 + C_2 \leq T_1$

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## RM-Schedule

- Let  $F = \lfloor T_2 / T_1 \rfloor$  be the number of periods of  $\tau_1$  entirely contained in  $T_2$ .
- Case 1:
  - The computation time  $C_1$  is short enough, so that all requests of  $\tau_1$  within period of  $\tau_2$  are completed before second request of  $\tau_2$ .
  - I.e.  $C_1 \leq T_2 - F T_1$
  - Schedule feasible if  $(F+1)C_1 + C_2 \leq T_2$

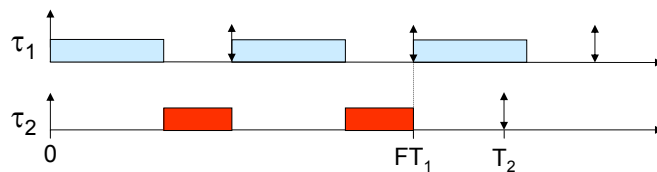


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## RM-Schedule

- Case 2:
  - The second request of  $\tau_2$  arrives when  $\tau_1$  is running.
  - I.e.  $C_1 \geq T_2 - F T_1$



Schedule feasible if  $FC_1 + C_2 \leq FT_1$

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## Proof of Liu/Layland

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