



## REVIEW: The Partitioning Problem

The **partitioning problem** is to assign  $n$  **objects**  $O=\{o_1, \dots, o_n\}$  to  $m$  **blocks** (also called **partitions**)  $P=\{p_1, \dots, p_m\}$  such that

- $p_1 \cup p_2 \dots \cup p_m = O$
- $p_i \cap p_j = \emptyset$  for all  $i \neq j$ , and
- $\text{cost } c(P)$  is minimized.

## REVIEW: Partitioning Methods

### ▪ Heuristic methods

- Constructive methods
  - Random mapping
  - Hierarchical clustering
- Iterative methods
  - Kernighan-Lin Algorithm
  - Simulated Annealing

### ▪ Exact methods

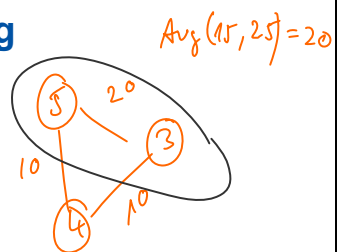
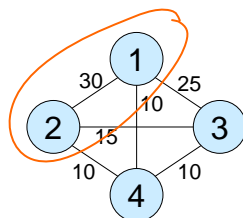
- Enumeration
- Integer Linear Programming (ILP)

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## REVIEW: Hierarchical Clustering

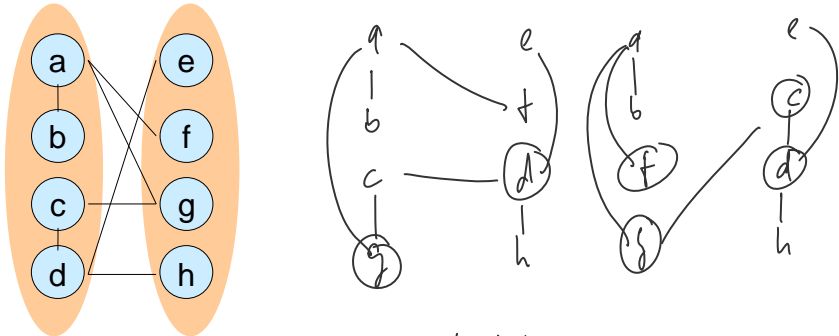
Average  
closeness;  
Termination:  
2 blocks



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## REVIEW: Kernighan-Lin

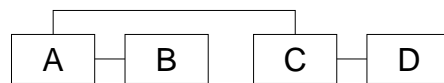


Step #	object pair	cut reduction	cut
0			5
1	{d, g}	3	2
2	{c, f}	1	1 ← least cut
3	{b, h}	-2	3
4	{a, e}	-2	5

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## REVIEW: Fiduccia-Mattheyses Heuristic (F-M)



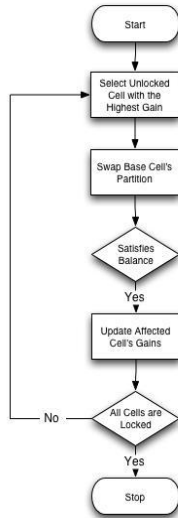
- Objects have size  $s(o)$
- Size of block: sum of size of objects
- **Balanced two-way partition:**  
Given a fraction  $r$ ,  $0 < r < 1$ ,  
partition a graph into two blocks A and B such that  
 $|A| / (|A| + |B|) \approx r$   
and cutset is minimized
- **Linear complexity**

**Terminology:** object=„cell“, hyperedges=„net“

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## REVIEW: Single pass of the F-M heuristic



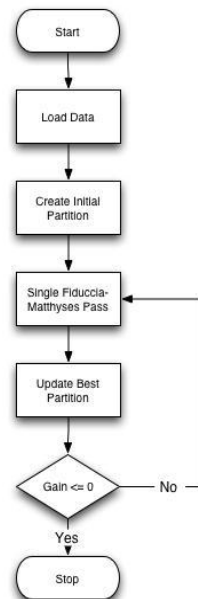
- Select the cell with the greatest gains that satisfies balance conditions
- Move the cell and lock it
- Update gains
- Repeat until all cells are locked or will dissatisfy balance conditions

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## REVIEW: Overall F-M heuristic

- Create an initial partition
- Execute a pass of the F-M heuristic
- Start again using the resulting partition as the initial partition
- Continue until the resulting gain is no longer greater than zero



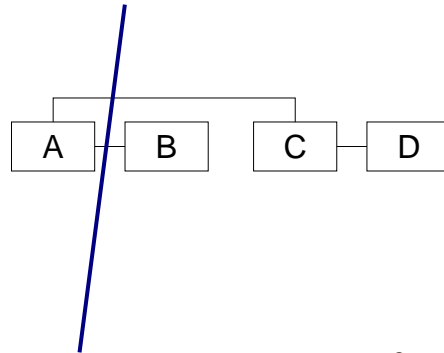
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## REVIEW: Calculate gain

- $g(i) = FS(i) - TE(i)$
- $FS(i)$ : The number of nets which contain cell  $i$  but no other object in the same partition as  $i$
- $TE(i)$ : The number of nets that consist only of  $i$  and other cells currently in the same partition as  $i$

object	FS	TE	gain
A	2	0	2
B	1	0	1
C	1	1	0
D	0	1	-1



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## Calculate Gain

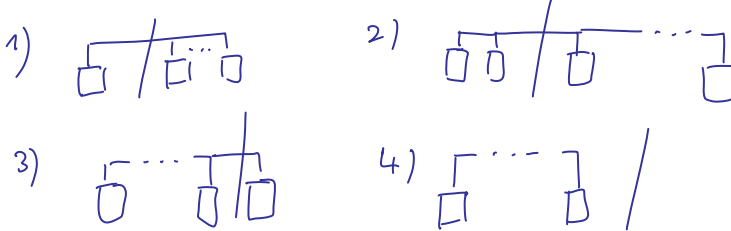
- **For each unlocked cell  $i$  do**
  - $g(i) = 0$
  - $F$  = the "from block" of object  $i$
  - $T$  = the "to block" of object  $i$
  - **For each net  $n$  that contains  $i$  do**
    - If  $F(n) = 1$  increment  $g(i)$
    - If  $T(n) = 0$  decrement  $g(i)$

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## Net distribution and critical nets

- Distribution of net  $i$ :  
 $(A(i), B(i)) = (\text{\# of cells in A}, \text{\# of cells in B})$
- A net is **critical** if it has a cell that if moved will add or remove the net from the cutset
- Gain of a cell depends only on its critical nets.
- 4 cases:  $A(i)=0$  or  $1$ ,  $B(i)=0$  or  $1$

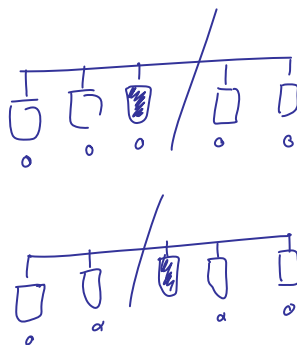


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## Updating gains

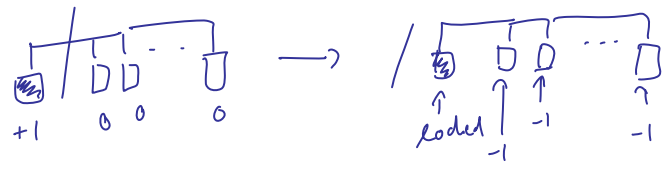
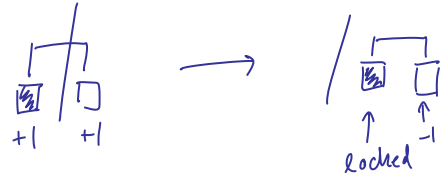
- For the update of the gains, we only need to consider nets that contain the cell selected for movement and that are critical before or after the move.



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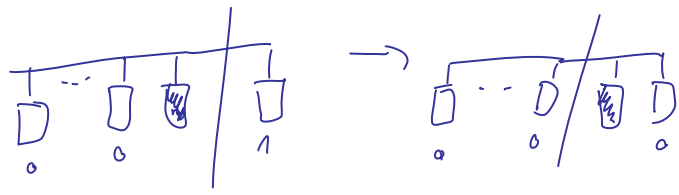
1) 



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2) 



Case 3 / 4 analogously.

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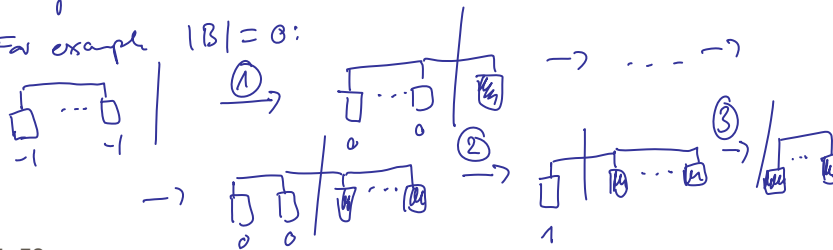
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## Complexity

- Once a net has some locked cell at both sides, the net will remain in the cut set.
- At most 3 update operations per net during one pass of the algorithm
- Linear complexity**

Ulog 1st time  $A \rightarrow B$

For example  $|B|=0$ :



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## Simulated Annealing

- General method for solving combinatorial optimization problems.
- Based the model of slowly cooling crystal liquids.
- Changes leading to a poorer configuration (with respect to some cost function) are accepted with a certain probability.
- This probability is controlled by a temperature parameter: the probability is smaller for smaller temperatures.

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## Simulated Annealing Algorithm

```
procedure SimulatedAnnealing;  
var i, T: integer;  
begin  
  temp := temp_start;  
  cost:=c(P);  
  while (Frozen()==FALSE) do  
    begin  
      while (Equilibrium()==FALSE) do  
        begin P' := RandomMove(P);  
          cost'=c(P')  
          deltacost := cost' - cost;  
          if (Accept(deltacost, temp)>random[0,1])  
            then P=P'; cost=cost'  
        end;  
      temp:= decreaseTemp(temp)  
    end;  
end;
```

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## Simulated Annealing

- Annealing schedule: DecreaseTemp(), Frozen()
  - temp\_start=1.0
  - temp =  $\alpha \cdot$  temp (typical:  $0.8 \leq \alpha \leq 0.99$ )
  - stop at temp < temp\_min or if no more improvement
- Equilibrium:
  - After certain number of iterations or when no more improvement
- Complexity:
  - From exponential to constant, depending on choice of Equilibrium(), DecreaseTemp(), Frozen()
  - The longer the runtime, the better the results
  - Usually functions constructed to obtain polynomial runtime

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## Integer programming models

- Ingredients:
  - Cost function
  - Constraints
- } Involving linear expressions over *integer* variables from a set  $X$

Cost function  $C = \sum_{x_i \in X} a_i x_i$  with  $a_i \in \mathbb{R}, x_i \in \mathbb{N}$  (1)

Constraints:  $\forall j \in J : \sum_{x_i \in X} b_{i,j} x_i \geq c_j$  with  $b_{i,j}, c_j \in \mathbb{R}$  (2)

**Def.:** The problem of minimizing (1) subject to the constraints (2) is called an **integer programming (IP) problem**.

If all  $x_i$  are constrained to be either 0 or 1, the IP problem said to be a **0/1 integer programming problem**.

## Example

$$C = 5x_1 + 6x_2 + 4x_3$$

$$x_1 + x_2 + x_3 \geq 2$$

$$x_1, x_2, x_3 \in \{0,1\}$$

$x_1$	$x_2$	$x_3$	$C$
0	1	1	10
1	0	1	9
1	1	0	11
1	1	1	15

← Optimal

## Remarks on integer programming

- Integer programming is NP-complete.
- Running times depend exponentially on problem size, but problems of  $>1000$  vars solvable with good solver (depending on the size and structure of the problem)
- The case of  $x_i \in \mathbb{R}$  is called *linear programming* (LP). LP has polynomial complexity, but most algorithms are exponential, still in practice faster than for ILP problems.
- The case of some  $x_i \in \mathbb{R}$  and some  $x_i \in \mathbb{N}$  is called *mixed integer-linear programming*.
- ILP/LP models can be a good starting point for modeling, even if in the end heuristics have to be used to solve them.

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## Integer Linear Programming for Partitioning

- Binary variables  $x_{i,k}$ 
  - $x_{i,k}=1$ : object  $o_i$  in block  $p_k$
  - $x_{i,k}=0$ : object  $o_i$  not in block  $p_k$
- Cost  $c_{i,k}$  if object  $o_i$  in block  $p_k$
- Integer linear program:

$$x_{i,k} \in \{0,1\} \quad 1 \leq i \leq n, 1 \leq k \leq m$$

$$\sum_{k=1}^m x_{i,k} = 1 \quad 1 \leq i \leq n$$

$$\text{minimize} \quad \sum_{k=1}^m \sum_{i=1}^n x_{i,k} \cdot c_{i,k}$$

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## A comprehensive integer linear programming model for HW/SW partitioning

Marwedel  
Section 6.3.2

### Notation:

- Index set  $V$  denotes tasks.
- Index set  $L$  denotes task **types**  
e.g. square root, DCT or FFT
- Index set  $M$  denotes hardware component **types**.  
e.g. hardware components for the DCT or the FFT.
- Index set  $J$  of hardware component instances
- Index set  $KP$  denotes processors.  
All processors are assumed to be of the same type

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## An ILP model for HW/SW partitioning

- $X_{v,m}$ : =1 if node  $v$  is mapped to hardware component type  $m \in M$  and 0 otherwise.
- $Y_{v,k}$ : =1 if node  $v$  is mapped to processor  $k \in KP$  and 0 otherwise.
- $NY_{l,k}$  =1 if at least one node of type  $l$  is mapped to processor  $k \in KP$  and 0 otherwise.
- $Type$  is a mapping from tasks to their types:  
 $Type : V \rightarrow L$
- The cost function accumulates the cost of hardware units:  
$$C = \text{cost}(\text{processors}) + \text{cost}(\text{memories}) + \text{cost}(\text{application specific hardware})$$

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## Constraints

### Operation assignment constraints

$$\forall v \in V : \sum_{m \in M} X_{v,m} + \sum_{k \in KP} Y_{v,k} = 1$$

All task graph nodes have to be mapped either in software or in hardware.

Variables are assumed to be integers.

Additional constraints to guarantee they are either 0 or 1:

$$\forall v \in V : \forall m \in M : X_{v,m} \leq 1$$

$$\forall v \in V : \forall k \in KP : Y_{v,k} \leq 1$$

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### Operation assignment constraints (2)

$$\forall l \in L, \forall v:Type(v)=c_l, \forall k \in KP : NY_{l,k} \geq Y_{v,k}$$

- For all types  $l$  of operations and for all nodes  $v$  of this type:  
if  $v$  is mapped to some processor  $k$ , then that processor must implement the functionality of  $l$ .

- Decision variables must also be 0/1 variables:

$$\forall l \in L, \forall k \in KP : NY_{l,k} \leq 1.$$

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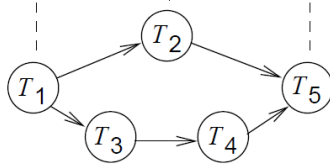
## Resource & design constraints

- $\forall m \in M$ , the cost for components of type  $m$  is  
= sum of the costs of the components of that type.
- $\forall k \in KP$ , the cost for associated data storage area should not exceed its maximum.
- $\forall k \in KP$  the cost for storing instructions should not exceed its maximum.

## Scheduling / precedence constraints

- For all nodes  $v_{i1}$  and  $v_{i2}$  that are potentially mapped to the same processor or hardware component instance, introduce a binary decision variable  $b_{i1,i2}$  with  
 $b_{i1,i2}=1$  if  $v_{i1}$  is executed before  $v_{i2}$  and  
= 0 otherwise.  
Define constraints of the type  
(end-time of  $v_{i1}$ )  $\leq$  (start time of  $v_{i2}$ ) if  $b_{i1,i2}=1$  and  
(end-time of  $v_{i2}$ )  $\leq$  (start time of  $v_{i1}$ ) if  $b_{i1,i2}=0$
- Ensure that the schedule for executing operations is consistent with the precedence constraints
- Approach fixes the order of execution
- Timing constraints guarantee that deadlines are met.

## Example



- HW types  $H1$ ,  $H2$  and  $H3$  with costs of 20, 25, and 30.
- Processors of type  $P$ .
- Tasks  $T1$  to  $T5$ .
- Execution times:

$T$	$H1$	$H2$	$H3$	$P$
1	20			100
2		20		100
3			12	10
4			12	10
5	20			100

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## Operation assignment constraints (1)

$T$	$H1$	$H2$	$H3$	$P$
1	20			100
2		20		100
3			12	10
4			12	10
5	20			100

$$\forall v \in V : \sum_{m \in KM} X_{v,m} + \sum_{k \in KP} Y_{v,k} = 1$$

$$X_{1,1} + Y_{1,1} = 1 \text{ (task 1 mapped to } H1 \text{ or to } P)$$

$$X_{2,2} + Y_{2,1} = 1$$

$$X_{3,3} + Y_{3,1} = 1$$

$$X_{4,3} + Y_{4,1} = 1$$

$$X_{5,1} + Y_{5,1} = 1$$

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## Operation assignment constraints (2)

- Assume types of tasks are  $l=1, 2, 3, 3,$  and  $1$ .

$$\forall l \in L, \forall v: \text{Type}(v)=c_l, \forall k \in KP : NY_{l,k} \geq Y_{v,k}$$

$$NY_{1,1} \geq Y_{1,1}$$

$$NY_{2,1} \geq Y_{2,1}$$

$$NY_{3,1} \geq Y_{3,1}$$

$$NY_{3,1} \geq Y_{4,1}$$

$$NY_{1,1} \geq Y_{5,1}$$

Functionality 3 to be implemented on processor if node 4 is mapped to it.

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## Other equations

- Time constraints leading to: Application specific hardware required for time constraints  $\leq 100$  time units.

$T$	$H1$	$H2$	$H3$	$P$
1	20			100
2		20		100
3			12	10
4			12	10
5	20			100

Cost function:

$$C=20 \#(H1) + 25 \#(H2) + 30 \#(H3) + \text{cost}(\text{processor}) + \text{cost}(\text{memory})$$

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## Result

- For a time constraint of 100 time units and  $\text{cost}(P) < \text{cost}(H3)$ :

<i>T</i>	<i>H1</i>	<i>H2</i>	<i>H3</i>	<i>P</i>
1	20			100
2		20		100
3			12	10
4			12	10
5	20			100

Solution (educated guessing) :

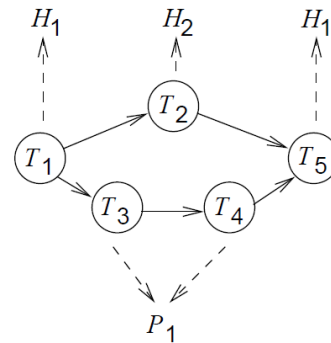
$T_1 \rightarrow H_1$

$T_2 \rightarrow H_2$

$T_3 \rightarrow P$

$T_4 \rightarrow P$

$T_5 \rightarrow H_1$



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## Fault tolerance

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## Safety vs. Reliability

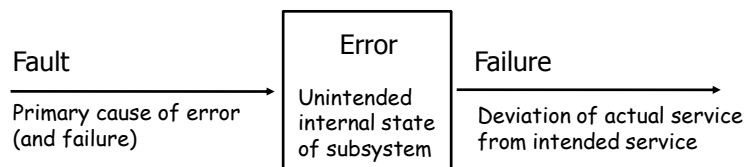
- **Safe** means *sufficiently low probability of serious harm caused by the system*:
  - e.g. ISO 8402: „State in which risk of harm (to persons) or damage is limited to an acceptable level.“
- **Reliable** means *sufficiently high probability of delivering intended service*.
  - Reliability is the probability of the system delivering the service it was designed for throughout the horizon, given
    - a defined temporal horizon
    - the operational conditions

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## Faults, Errors & Failures

Standardized terminology: J. C. Laprie (ed.) 1992,  
„Dependability: Basic Concepts and Terminology“



Example - landing gear in an airplane

- Landing gear sensor faulty: doesn't report that gear is down
- Landing flaps and thrust-reverters are blocked by control software though plane is grounded
- Braking distance increases dramatically, plane may drive off runway

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## Dealing with Faults

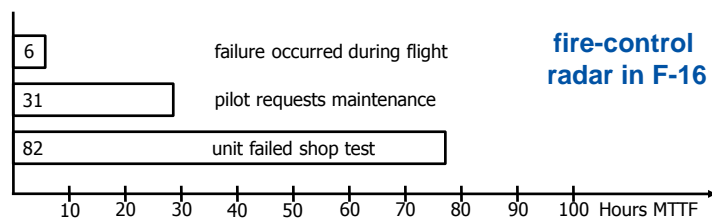
- **Fault avoidance** aims at preventing the occurrence of faults: design reviews, testing, verification.
- **Fault tolerance** Is the ability of a system to continue to perform its tasks after the occurrence of faults
  - **Fault masking**: preventing faults from introducing errors
  - **Reconfiguration**: fault detection, location, containment and recovery

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## Types of faults

- A **permanent fault** remains in existence indefinitely if no corrective action is taken
- A **transient fault** disappears within a short period of time
- An **intermittent fault** may appear and disappear repeatedly.



- Pilots noticed malfunctions every 6 flight hour
- Pilots requested maintenance every 31 hour
- Only 1/3 of the noticed malfunctions could be reproduced in the maintenance shop

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## Types of redundancy

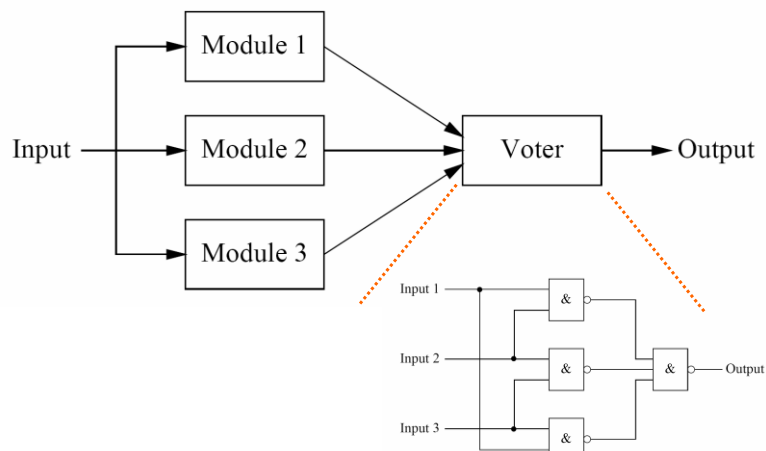
- **Hardware redundancy:** physical replication of hardware
- **Software redundancy:** different software versions of tasks, preferably written by different teams
- **Time redundancy:** multiple executions on the same hardware at different times
- **Information redundancy:** Coding data in such a way that a certain number of bit errors can be detected and/or corrected.

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## Static hardware redundancy

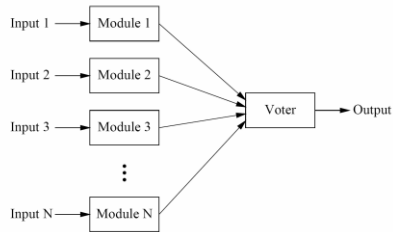
- Static redundancy based on voting.
- **Triple modular redundancy (TMR):**



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## Static hardware redundancy: N-modular redundancy (NMR)

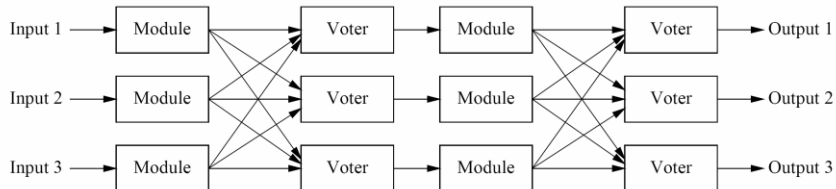


- System tolerates failure of  $(N-1)/2$  modules
- Protects against random faults but not against systematic faults
- Disadvantages: high cost, size, weight, energy. (typically:  $N \leq 4$ ).

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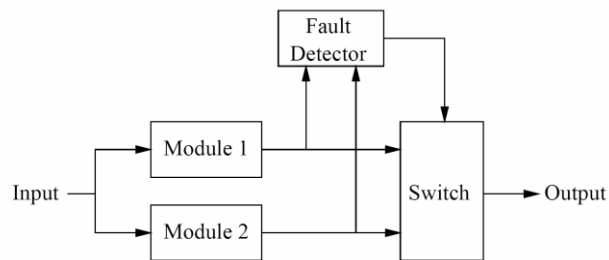
## Static hardware redundancy: Multiple Stage TMR



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## Dynamic hardware redundancy: standby spare arrangement



- Fault detection based on outputs (consistency check) not on voting
- Advantage: less redundant hardware
- Disadvantage: fault detection may take time  $\Rightarrow$  fault not masked

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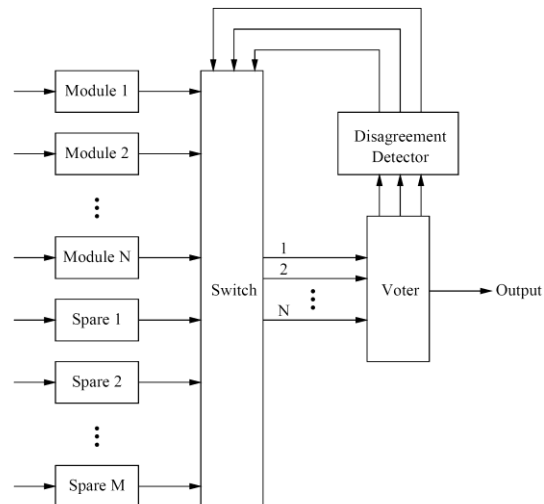
## Standby spares

- **Hot standby:** spare is run continuously in parallel with active unit
  - Fast transfer of control
  - Increased power consumption
  - Same operating stress as active unit
- **Cold standby:** spare is unpowered until called into service
  - Reduces power consumption
  - Reduces wear and tear
  - More disruption at changeover

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## Hybrid redundancy: N-modular redundancy with spares



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## Software fault tolerance

- **N-version programming** ( $\approx$  static redundancy)
  - Prepare N different versions
  - Run them in parallel or sequentially
  - Select result of majority at the end
- **Recovery blocks** ( $\approx$  dynamic redundancy)
  - Each job has a primary version and one or more alternatives
  - When primary version is completed, perform acceptance test
  - If acceptance test fails, run alternative version

### **Danger:** common-mode failures

- Ambiguities in specification
- Choice of programming language, numerical algorithms,...
- Common background of software developers

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## Failure modes of subsystems

- **Fail-silent failures**
  - subsystem either produces correct results or produces (recognizable) incorrect results or remains quiet
  - **can be masked as long as at least one system survives**
- **Consistent failures**
  - If subsystem produces incorrect results all recipients receive same (incorrect) result
  - **can be masked iff the failing systems form a minority**
- **Byzantine failures**
  - subsystem reports different results to different dependent systems
  - **can be masked iff strictly less than a third of the systems fail**

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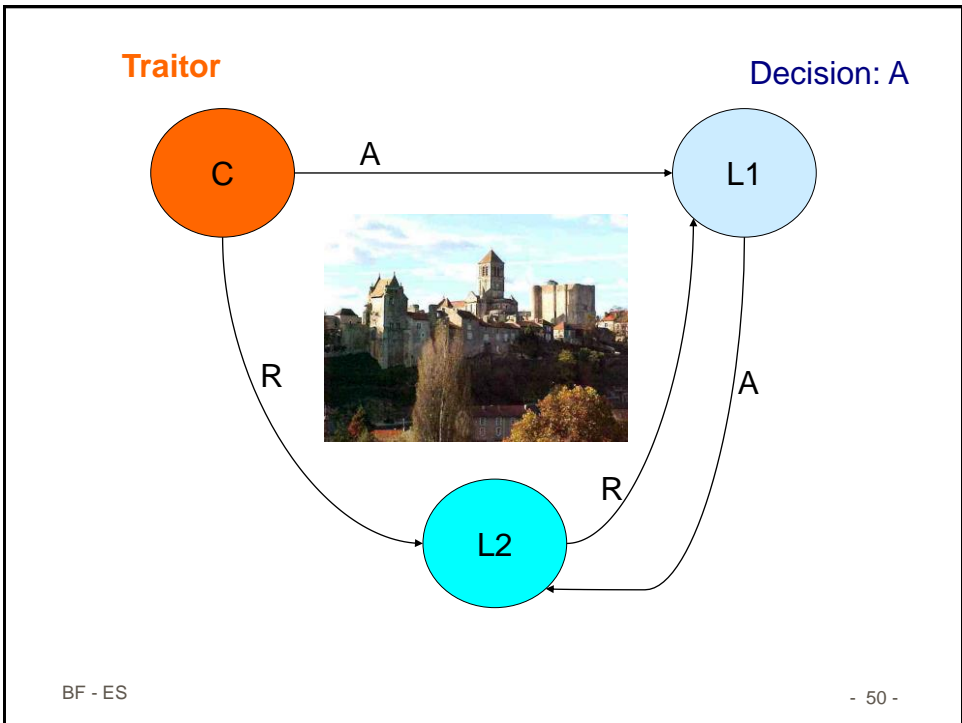
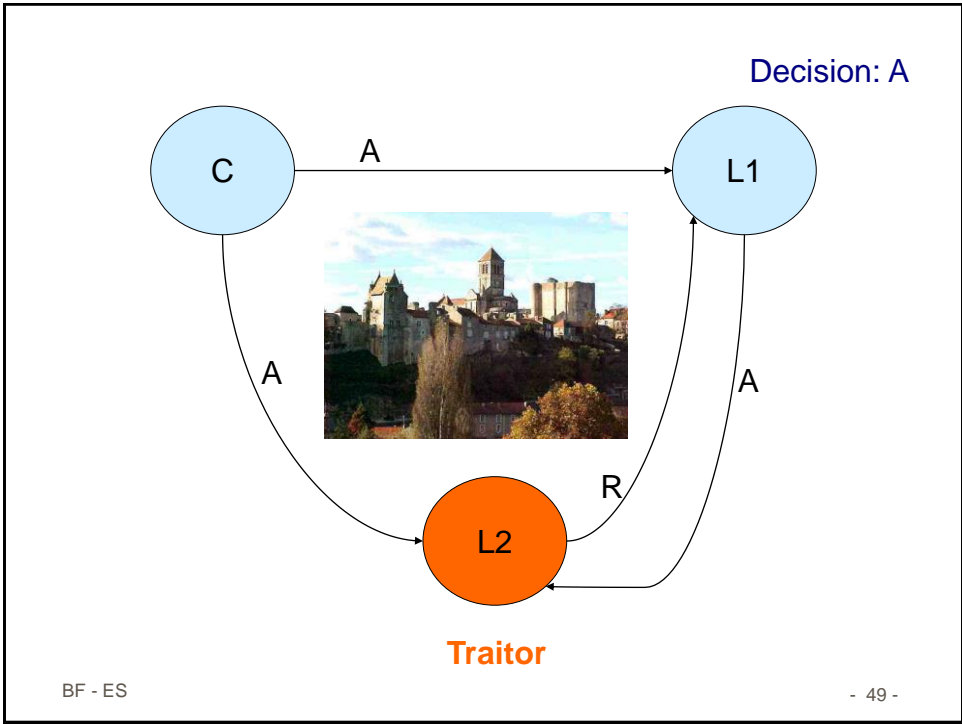
## Byzantine generals [Lamport/Shostak/Pease '82]

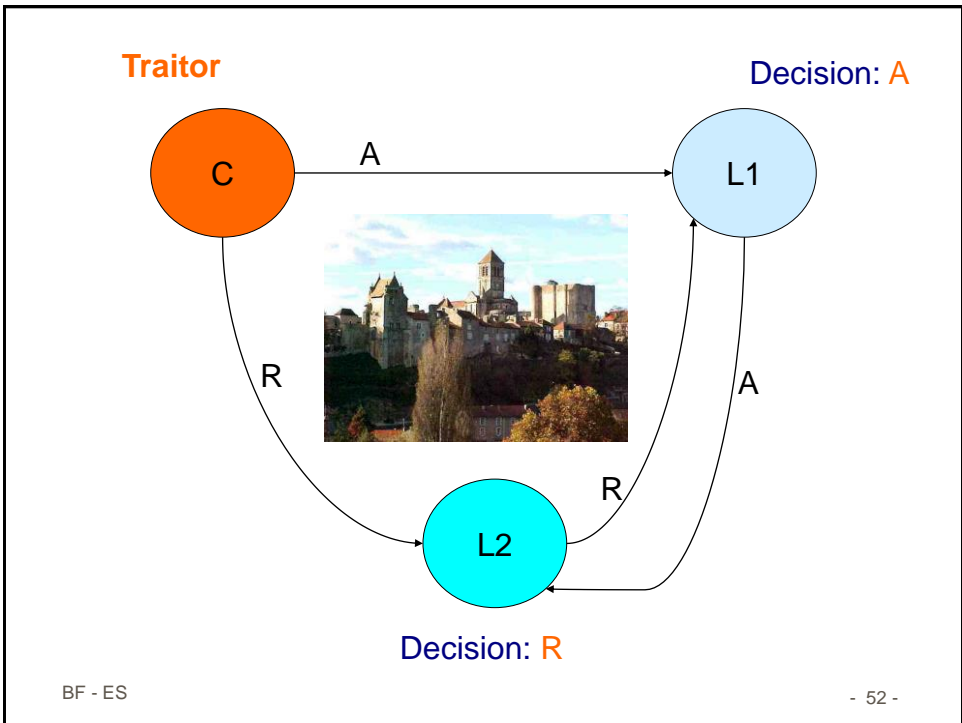
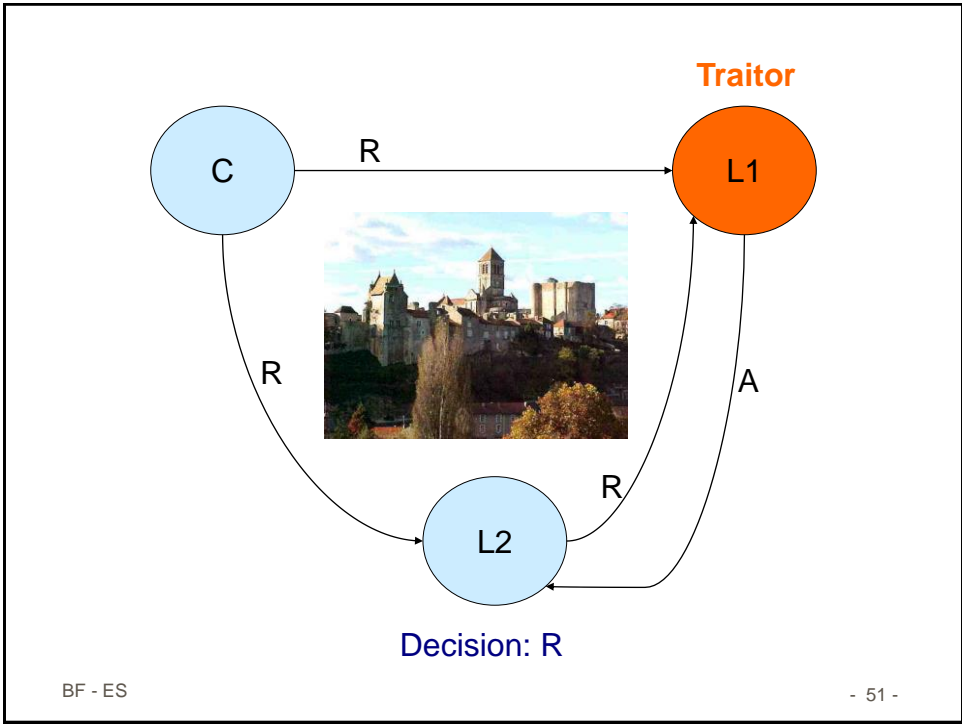
- Several divisions of the Byzantine army are camped outside an enemy city
- Each division is commanded by a general: there is one „commander“ and several „lieutenants“
- Each general may be a traitor
- Communication is reliable
- **Goal:** All loyal divisions must decide upon the **same** plan of action; if commander is loyal, loyal lieutenants should execute his order
- Basic idea: every lieutenant reports about the command received

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## Solution

### Algorithm A(0):

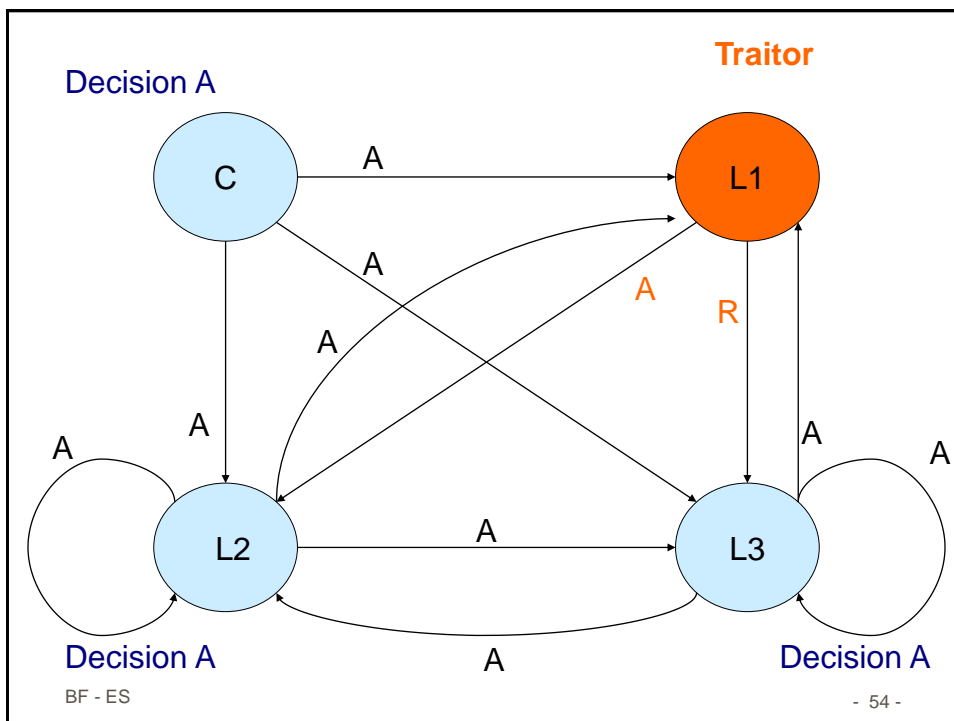
- Commander sends value (=order) to every lieutenant.

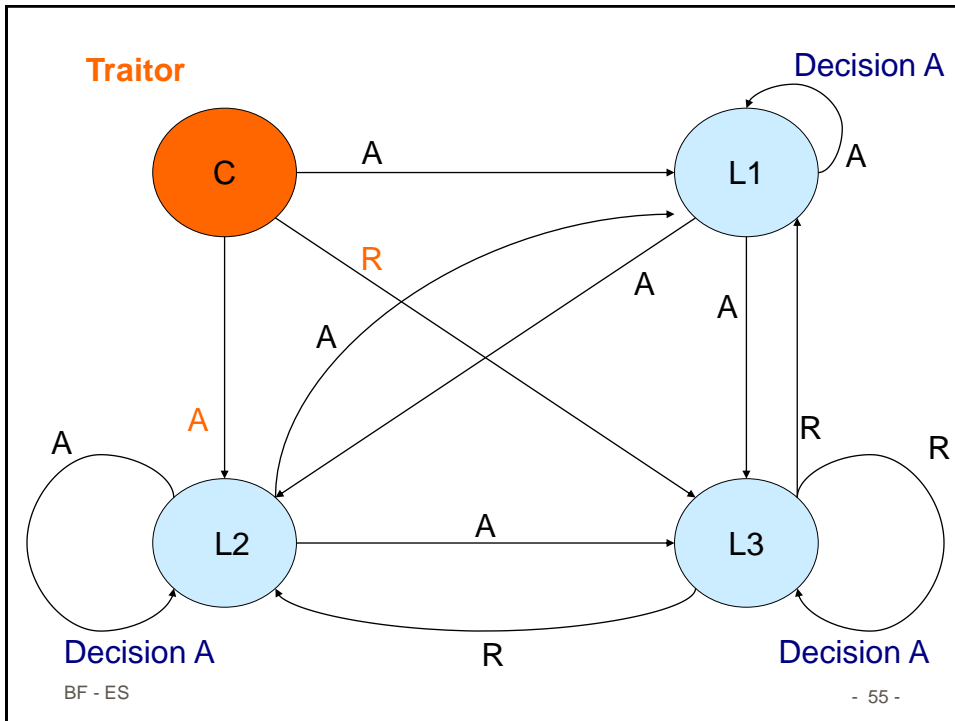
### Algorithm A(m), $m > 0$ :

- Commander sends value to every lieutenant.
- Each lieutenant forwards value to all other lieutenants using algorithm A(m-1).
- Lieutenant  $i$  uses majority value of received values to determine result.

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### Lieutenants reach consensus (Case 1 traitor)

Case 1: Commander is loyal, some lieutenant is traitor

⇒ set of forwarded messages differ by at most 1 value

⇒ same majority vote

Case 2: Commander is traitor, lieutenants are loyal

⇒ set of forwarded messages are identical

⇒ same majority vote at every lieutenant